

October 14, 2020

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai- 400 001	The Manager, Listing Department, National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra-East, Mumbai- 400 051
Scrip Code: 532953	Symbol: VGUARD

Dear Sir/Madam,

**Sub: Certificate of Compliance under Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half-year ended September 30, 2020.**

Pursuant to Regulation 40 (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s. SVJS & Associates, Company Secretaries for the half-year ended September 30, 2020 in compliance with Regulation 40 (9) of SEBI (LODR) Regulations, 2015.

Kindly take the same on your record.

Thanking You,

Yours sincerely,  
For V-Guard Industries Limited



Jayasree K  
Company Secretary



Encl: As above

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30.09.2020**

We have examined all registers, files and other documents relating to **V-GUARD INDUSTRIES LIMITED**, 42/962, Vennala High School Road, Vennala, Ernakulam, Kerala - 682028 maintained by **Link Intime India Private Ltd (The Registrar and Transfer Agent)**, Surya, 35, Mayflower Avenue, Behind Senthil Nagar, Sowripalayam Road, Coimbatore - 641028, pertaining to transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of the Company for the period **01.04.2020 to 30.09.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and The Registrar and Transfer Agent, we hereby certify that:

- A. Share Certificate(s) relating to the transfer of Shares received during the period from **01.04.2020 to 30.09.2020** as entered in the Memorandum of Transfers, which has been issued within the prescribed time from lodgment for transfer excepting those rejected on technical grounds.
- B. During the period **01.04.2020 to 30.09.2020**, the Company has made the following allotments;

Sl. No	Particulars of shares allotted and date of allotment	No. of shares allotted	Amount paid per share (face value- Re.1/ share)	Total amount received
1	Equity shares under ESOP Scheme 27.07.2020	118,490	Re.1.00/-	Rs. 118,490/-
		87,914	Rs.34.64/-	Rs. 3,045,340.96/-
		1,750	Rs.71.36/-	Rs. 124,880/-
2	Equity shares under ESOP Scheme 22.09.2020	141,117	Re.1.00/-	Rs. 141117/-
		277,342	Rs.34.64/-	Rs.9,607,126.88/-

C. Any other information, if applicable:

- a) No requests for subdivision, consolidation, renewal, exchange or endorsement of calls have received during the year under review.
- b) The Company has issued new share certificates in respect of those shares, which were to be transferred to IEPF. No requests were received for issue of duplicate certificates during the period under review.

For SVJS & Associates  
Company Secretaries

JAYAN  
KATTIRI

Digitally signed by  
JAYAN KATTIRI  
Date: 2020.10.14  
17:33:05 +05'30'

Jayan K  
Partner

FCS: 8154, CP. No. 7363

14.10.2020  
Kochi

UDIN: **F008154B000950865**