



Ref No.: Minechem/Stock Exch/Letter/8200

April 12, 2023

**The Dy. General Manager,
Corporate Relations & Services Dept.,
Bombay Stock Exchange Limited
Phirojsha Jeejibhoy Towers,
Dalal Street, Mumbai - 400 001.**

**The Dy. Gen. Manager,
National Stock Exchange of India Ltd.,
Corporate Relations Dept.,
Exchange Plaza, 5th Floor,
Plot No. C/1. G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai – 400 051.**

Scrip Code: 527001

Scrip Code: ASHAPURMIN

Dear Sir/Madam,

Sub: Certificate under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for year ended 31st March, 2023

In terms of regulation 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, enclosing herewith a Certificate dated 12th April, 2023, issued by Mr. Virendra G. Bhatt, Practicing Company Secretary for the year ended 31st March, 2023.

Kindly take the same on record.

Yours faithfully,
For ASHAPURA MINECHEM LIMITED

**SACHIN POLKE
COMPANY SECRETARY &
PRESIDENT (Corporate Affairs)**

Virendra Bhatt

Company Secretary

Office :

Office No.: 03, A Wing, 9th Floor,

Pinnacle Corporate Park,

BKC CST Link Rd., MMRDA Area,

Bandra Kurla Complex,

Bandra East, Mumbai - 400 051

Tel.: 022 - 2652 9367 / 68

Mobile No.: +91 98200 48670

Email : bhattvirendra1945@yahoo.co.in

Name of the Company : ASHAPURA MINECHEM LIMITED

CIN : L14108MH1982PLC026396

**Registered Office : JEEVAN UDYOG BLDG, 3RD FLOOR, 278, D. N. ROAD,
FORT, MUMBAI - 400001, MAHARASHTRA, INDIA.**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR
ENDED 31ST MARCH, 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 08th June, 2018 and vide its press release dated 3rd December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 ("SEBI's operational guidelines") had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, I have examined all the relevant documents relating to **Ashapura Minechem Limited** maintained by **Link Intime India Private Limited ("RTA")** for the purpose of issuing the Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and



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Disclosure Requirements) Regulations, 2015 for the period from **01st April, 2022 to 31st March, 2023** and based on the information provided, I hereby certify that:

- A. The Company / RTA has delivered / sent during year ended on 31st March, 2023, letters of confirmation as per SEBI's operational guidelines, for execution of deletion of name and issue of duplicate securities certificate, received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgement.
- B. As informed to me, the RTA has not processed any physical transfer, transmission, transposition of securities, renewal, consolidation, exchange and sub-division (split) etc. as per SEBI's operational guidelines.

Date: 12th April, 2023

Place: Mumbai

UDIN: A001157E000066266



Virendra G. Bhatt

Practicing Company Secretary

ACS No.: 1157 / COP No.: 124

Peer Review Cert. No.: 1439/2021