

### BAFNA PHARMACEUTICALS LIMITED

REGD. OFFICE: "BAFNA TOWERS" 299 THAMBU CHETTY STREET, CHENNAI - 600 001, INDIA.

PHONE: 044-25267517/25270992/42677555, FAX: 91-44-25231264, Email: info@bafnapharma.com, Website: www.bafnapharma.com CIN: L24294

TN1995PLCO30698

To, Date: 12.04.2023

Listing Department	Listing Department
BSE Limited	National Stock Exchange of India Limited,
P J Towers	Exchange Plaza C-1, Block G,
Dalal Street, Mumbai - 400 001	Bandra Kurla Complex, Bandra (E),
	Mumbai - 400 051.
Security Code: 532989	Symbol: BAFNAPH
Security ID: BAFNAPHARM	Series: EQ

Dear Sir/Madam,

Sub: Certificate under Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements)

Regulations, 2015

**Ref:** BAFNA PHARMACEUTICALS LIMITED

With reference to above, we're hereby enclosing a certificate received under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023.

Kindly acknowledge the receipt and do the needful.

Thanking you Yours faithfully

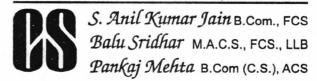
#### For BAFNA PHARMACEUTICALS LIMITED

SHANMUGA Digitally signed by SHANMUGAM HEMALATHA
HEMALATHA Date: 2023.04.12
17:14:26 +05'30'

S. Hemalatha Whole time Director

Encl:- As above.

# A. K. JAIN & ASSOCIATES COMPANY SECRETARIES



## <u>CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA</u> (<u>LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS</u>) REGULATIONS, 2015

#### FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2023

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/S. BAFNA PHARMACEUTICALS LIMITED (the Company) maintained by M/s. CAMEO CORPORATE SERVICES LIMITED ("Share Transfer Agent) pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and we hereby certify that the Company has not received any requests for physical, Transmission, Split, Consolidation and Duplicate share certificates for the period commencing from 01.04.2022 to 31.03.2023.

Date: 11.04.2023 Place: Chennai For A.K.JAIN & ASSOCIATES

Company Secretaries

BALU SRIDHAR Partner

M.NO. F5869

C.P.NO. 3550

UDIN: F005869E000062376

PR: 1201/2021