

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 (LISTING REGULATIONS)

FOR THE FINANCIAL YEAR ENDED 31st MARCH, 2022

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **UJJIVAN SMALL FINANCE BANK LIMITED (“Bank”)**, maintained by **KFin Technologies Limited** (*Formerly known as KFin Technologies Private Limited*), pertaining to transfer of equity shares of the Bank for the period from **1st April, 2021 to 31st March, 2022** for the purpose of issuing a Certificate as per Regulation 40 (9) of the Listing Regulations. I hereby certify that during half year ended on **31st March, 2022**:

- A)** There were no requests received for transfer or transmission shares or deletion of names or split, sub-division or consolidation of shares for the period **1st April, 2021 to 31st March, 2022**.
- B)** Any other information, if applicable: **Nil**

Place: Bengaluru
Dated: April 8, 2022

K JAYACHANDRAN
CP NO. 4031
UDIN: 011309D000053252