



### **RESULT OF THE POSTAL BALLOT**

Pursuant to Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014, approval of the Shareholders for passing Special Resolution was sought through postal ballot process vide Notice dated 28<sup>th</sup> October 2021 for

1. Alteration of main object clause of the Memorandum of Association of the Company by inserting new object.
2. Alteration of Articles of Association of the Company.
3. Enhancement of the existing limit of borrowing under section 180 (1) (c) of the Companies Act, 2013.
4. Enhancement of existing limit of creation of charge on the movable and immovable properties of the Company under section 180 (1) (a) of the Companies Act, 2013.

Mr. P. N. Parikh (Membership No. FCS 327) or failing him Mr. Mitesh Dhabliwala (Membership No. FCS 8331) or failing him Ms. Sarvari Shah (Membership No. FCS 9697) of M/s Parikh & Associates, Company Secretaries in whole time practice was appointed as Scrutinizer for conducting the postal ballot process in a fair and transparent manner.

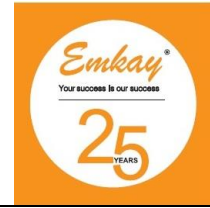
The last date for e-voting and receipt of the postal ballot form duly completed from the Shareholders was up to 5.00 p.m. on Saturday, 18th December 2021.

Based on the report submitted by the Scrutinizer, following results are announced:

| Postal Ballot Voting Results<br>Disclosure as per Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 |   |
|--|---|
| Company Name   | Emkay Global Financial Services Limited       |
| Date of the Postal Ballot Notice   | 28 <sup>th</sup> October 2021                 |
| Total number of shareholders as on cut-off Date  | 16093   |
| Voting:  |   |
| Start Date   | <b>Friday 19th November 2021 at 9.00 a.m.</b> |
| End Date   | <b>Saturday 18th December 2021 at 5.00 pm</b> |
| No. of shareholders present in the meeting either in person or through   | <b>Not Applicable</b>                         |



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Birla Centurion, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9105  
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899

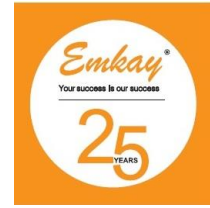


|   |                       |
|---|-----------------------|
| proxy   |                       |
| Promoters and promoter Group  | -----                 |
| Public  | -----                 |
| No. of shareholders present in the meeting through Video Conferencing | <b>Not Applicable</b> |
| Promoters and promoter Group  | -----                 |
| Public  | -----                 |

| Resolution Required : (Special)   |                |                    | 1 - ALTERATION OF MAIN OBJECT CLAUSE OF THE MEMORANDUM OF ASSOCIATION OF THE COMPANY BY INSERTING NEW OBJECT |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|--|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No   |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled  | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]  | [3]={[2]/[1]}*100                       | [4]                      | [5]                    | [6]={[4]/[2]}*100                    | [7]={[5]/[2]}*100                  |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984   | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>  | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765   | 79.9431                                 | 108765                   | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>  | <b>79.9431</b>                          | <b>108765</b>            | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Non Institutions   | E-Voting       | 6142993            | 282144   | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>  | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>  | <b>76.0966</b>                          | <b>18744779</b>          | <b>114</b>             | <b>99.9994</b>                       | <b>0.0006</b>                      |



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The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority

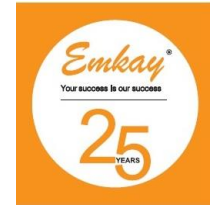
| Resolution Required : (Special)   |                |                    | 2 - ALTERATION OF ARTICLES OF ASSOCIATION OF THE COMPANY |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|--|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No   |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled                                      | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]  | $[3]=\{[2]/[1]\} * 100$                 | [4]                      | [5]                    | $[6]=\{[4]/[2]\} * 100$              | $[7]=\{[5]/[2]\} * 100$            |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984   | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>  | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765   | 79.9431                                 | 108765                   | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>  | <b>79.9431</b>                          | <b>108765</b>            | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Non Institutions   | E-Voting       | 6142993            | 282144   | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>  | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>  | <b>76.0966</b>                          | <b>18744779</b>          | <b>114</b>             | <b>99.9994</b>                       | <b>0.0006</b>                      |

The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority



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**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**



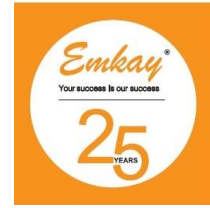
| Resolution Required : (Special)   |                |                    | 3 - ENHANCEMENT OF THE EXISTING LIMIT OF BORROWING UNDER SECTION 180 (1) (c) OF THE COMPANIES ACT, 2013 |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|---|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No  |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled   | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]   | [3]={[2]/[1]}*100                       | [4]                      | [5]                    | [6]={[4]/[2]}*100                    | [7]={[5]/[2]}*100                  |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984  | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>   | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765  | 79.9431                                 | 0                        | 108765                 | 0.0000                               | 100.0000                           |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>   | <b>79.9431</b>                          | <b>0</b>                 | <b>108765</b>          | <b>0.0000</b>                        | <b>100.0000</b>                    |
| Public Non Institutions   | E-Voting       | 6142993            | 282144  | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>   | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>   | <b>76.0966</b>                          | <b>18636014</b>          | <b>108879</b>          | <b>99.4192</b>                       | <b>0.5808</b>                      |

The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority



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**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**



| Resolution Required : (Special)   |                |                    | 4 - ENHANCEMENT OF EXISTING LIMIT OF CREATION OF CHARGE ON THE MOVABLE AND IMMOVABLE PROPERTIES OF THE COMPANY UNDER SECTION 180 (1) (a) OF THE COMPANIES ACT, 2013 |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|---|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No  |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled   | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]   | [3]={[2]/[1]}*100                       | [4]                      | [5]                    | [6]={[4]/[2]}*100                    | [7]={[5]/[2]}*100                  |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984  | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>   | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765  | 79.9431                                 | 0                        | 108765                 | 0.0000                               | 100.0000                           |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>   | <b>79.9431</b>                          | <b>0</b>                 | <b>108765</b>          | <b>0.0000</b>                        | <b>100.0000</b>                    |
| Public Non Institutions   | E-Voting       | 6142993            | 282144  | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>   | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>   | <b>76.0966</b>                          | <b>18636014</b>          | <b>108879</b>          | <b>99.4192</b>                       | <b>0.5808</b>                      |

The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority



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**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**



The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority.

## For Emkay Global Financial Services Limited

PRAKASH  
KACHOLIA

Digitally signed  
by PRAKASH  
KACHOLIA  
Date: 2021.12.20  
17:27:36 +05'30'

**Mr. Prakash Kacholia**  
**Managing Director**

Place: Mumbai  
Date :20.12.2021

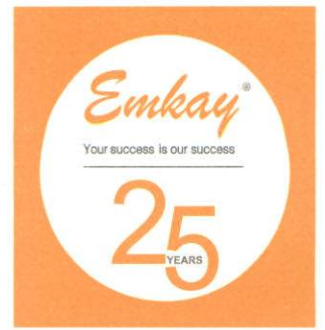


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**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**





Date: 20.12.2021

|  |  |
|--|--|
| <b>To,</b><br><b>Listing Department</b><br><b>National Stock Exchange of India Limited</b><br>Exchange Plaza<br>Bandra Kurla Complex<br>Bandra (East), Mumbai - 400051<br><br>Scrip Code: 532737 | <b>To,</b><br><b>Listing Department</b><br><b>Bombay Stock Exchange Limited</b><br>P.J.Tower, Dalal Street,<br>Mumbai-400 001<br><br>Scrip Code: EMKAY |
|--|--|

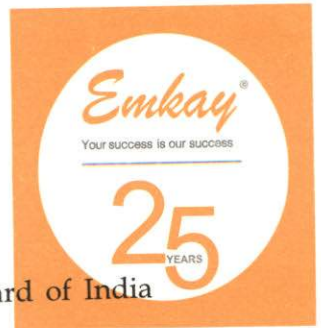
Dear Sir,

**Sub: Disclosure regarding the Postal ballot/ Evoting results and Proceedings /Outcome of the Postal Ballot process and pursuant to provision of Section 110 of the Companies Act, 2013 read with Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to the provision of Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014 read with General Circular No.14/2020 dated April 8,2020 and the General Circular No.17/2020 dated April 13,2020 read with other relevant circulars including General Circular No. 10/2021 dated June 23, 2021 issued by the Ministry of Corporate Affairs, Government of India ("MCA Circulars") and any other applicable laws and regulations ,the Company had conducted the process of Postal Ballot for seeking approval of the shareholders for passing Special Resolutions for

1. Alteration of main object clause of the Memorandum of Association of the Company by inserting new object.
2. Alteration of Articles of Association of the Company.
3. Enhancement of the existing limit of borrowing under section 180 (1) (c) of the Companies Act, 2013.
4. Enhancement of existing limit of creation of charge on the movable and immovable properties of the Company under section 180 (1) (a) of the Companies Act, 2013.





Further, pursuant to Regulation 44 (3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended, we are submitting herewith the result of the voting by Postal Ballot (through E-voting). We are also enclosing herewith Scrutinizer's Report dated 20<sup>th</sup> December 2021 for your kind reference.

The said Postal Ballot /E-voting Result will be displayed at the Registered Office of the Company and on the website of the Company - [www.emkayglobal.com](http://www.emkayglobal.com).

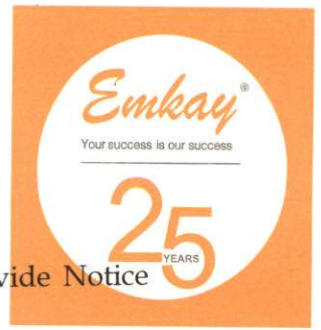
The details regarding the proceedings/Outcome and E-voting results of the business transacted through postal ballot process are as under.

| Sr.No. | Description  | Particulars                           |                       |       |
|--------|--|---------------------------------------|-----------------------|-------|
| A      | Date of the Notice of Postal Ballot  | Friday 28 <sup>th</sup> October 2021  |                       |       |
| B      | Cut -off Date  | Friday 12 <sup>th</sup> November 2021 |                       |       |
| C      | Total number of Shareholders on cut-off Date   | 16093                                 |                       |       |
| D      | Number of Shareholders present in the Annual General Meeting either in person or through proxy ---- <b>NOT APPLICABLE</b>                        |                                       |                       |       |
|        | Shareholders   | Present in person                     | Present through Proxy | Total |
|        | Promoters and Promoters Group  | -                                     | -                     | -     |
|        | Public   | -                                     | -                     | -     |
| E      | Number of Shareholders attended the meeting through Video Conferencing No Video Conferencing facility was made available - <b>Not Applicable</b> |                                       |                       |       |

The voting period began on **Friday 19th November 2021 at 9.00 am** and ended on **Saturday 18th December 2021 at 5.00 pm**. In this regard, Mr. P. N. Parikh (Membership No. FCS 327) or failing him Mr. Mitesh Dhabliwala (Membership no. FCS 8331) or failing him Ms. Sarvari Shah (Membership No. FCS 9697) of M/s. Parikh & Associates, Company Secretaries in whole-time practice, was appointed by the Board as Scrutinizer and has submitted his report.







The following is the result of the postal ballot/e-voting:

The following business was transacted through the Postal Ballot process vide Notice dated 28<sup>th</sup> October 2021.

| Item No. | Details of the Agenda Item   | Nature of Resolution | Mode of Voting   | Remarks                        |
|----------|--|----------------------|--|--------------------------------|
| 1        | Alteration of main object clause of the Memorandum of Association of the Company by inserting new object.  | Special              | By electronic means through E-Voting (Remote e-voting) | Passed with requisite majority |
| 2        | Alteration of Articles of Association of the Company   | Special              | By electronic means through E-Voting (Remote e-voting) | Passed with requisite majority |
| 3        | Enhancement of the existing limit of borrowing under section 180 (1) (c) of the Companies Act, 2013  | Special              | By electronic means through E-Voting (Remote e-voting) | Passed with requisite majority |
| 4        | Enhancement of existing limit of creation of charge on the movable and immovable properties of the Company under section 180 (1) (a) of the Companies Act, 2013. | Special              | By electronic means through E-Voting (Remote e-voting) | Passed with requisite majority |

All the resolutions were approved with requisite majority.



**RESOLUTION WISE DETAILS OF VOTING RESULTS ARE AS UNDER:**

| Resolution Required : (Special)   |                |                    | 1 - ALTERATION OF MAIN OBJECT CLAUSE OF THE MEMORANDUM OF ASSOCIATION OF THE COMPANY BY INSERTING NEW OBJECT |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|--|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No   |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled  | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]  | [3]={[2]/[1]}*100                       | [4]                      | [5]                    | [6]={[4]/[2]}*100                    | [7]={[5]/[2]}*100                  |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984   | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>  | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765   | 79.9431                                 | 108765                   | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>  | <b>79.9431</b>                          | <b>108765</b>            | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Non Institutions   | E-Voting       | 6142993            | 282144   | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>  | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>  | <b>76.0966</b>                          | <b>18744779</b>          | <b>114</b>             | <b>99.9994</b>                       | <b>0.0006</b>                      |

The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority.





| Resolution Required : (Special)   |                |                    | 2 - ALTERATION OF ARTICLES OF ASSOCIATION OF THE COMPANY |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|--|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No   |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled                                      | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]  | [3]={[2]/[1]}*100                       | [4]                      | [5]                    | [6]={[4]/[2]}*100                    | [7]={[5]/[2]}*100                  |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984   | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>  | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765   | 79.9431                                 | 108765                   | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>  | <b>79.9431</b>                          | <b>108765</b>            | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Non Institutions   | E-Voting       | 6142993            | 282144   | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0  | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>  | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>  | <b>76.0966</b>                          | <b>18744779</b>          | <b>114</b>             | <b>99.9994</b>                       | <b>0.0006</b>                      |

The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority.



| Resolution Required : (Special)   |                |                    | 3 - ENHANCEMENT OF THE EXISTING LIMIT OF BORROWING UNDER SECTION 180 (1) (c) OF THE COMPANIES ACT, 2013 |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|---|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No  |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled   | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]   | [3]={[2]/[1]}*100                       | [4]                      | [5]                    | [6]={[4]/[2]}*100                    | [7]={[5]/[2]}*100                  |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984  | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>   | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765  | 79.9431                                 | 0                        | 108765                 | 0.0000                               | 100.0000                           |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>   | <b>79.9431</b>                          | <b>0</b>                 | <b>108765</b>          | <b>0.0000</b>                        | <b>100.0000</b>                    |
| Public Non Institutions   | E-Voting       | 6142993            | 282144  | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>   | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>   | <b>76.0966</b>                          | <b>18636014</b>          | <b>108879</b>          | <b>99.4192</b>                       | <b>0.5808</b>                      |

The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority.





| Resolution Required : (Special)   |                |                    | 4 - ENHANCEMENT OF EXISTING LIMIT OF CREATION OF CHARGE ON THE MOVABLE AND IMMOVABLE PROPERTIES OF THE COMPANY UNDER SECTION 180 (1) (a) OF THE COMPANIES ACT, 2013 |   |                          |                        |                                      |                                    |
|---|----------------|--------------------|---|---|--------------------------|------------------------|--------------------------------------|------------------------------------|
| Whether promoter/ promoter group are interested in the agenda/resolution? |                |                    | No  |   |                          |                        |                                      |                                    |
| Category  | Mode of Voting | No. of shares held | No. of votes polled   | % of Votes Polled on outstanding shares | No. of Votes – in favour | No. of Votes – Against | % of Votes in favour on votes polled | % of Votes against on votes polled |
|   |                | [1]                | [2]   | [3]={[2]/[1]}*100                       | [4]                      | [5]                    | [6]={[4]/[2]}*100                    | [7]={[5]/[2]}*100                  |
| Promoter and Promoter Group   | E-Voting       | 18353984           | 18353984  | 100.0000                                | 18353984                 | 0                      | 100.0000                             | 0.0000                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>18353984</b>   | <b>100.0000</b>                         | <b>18353984</b>          | <b>0</b>               | <b>100.0000</b>                      | <b>0.0000</b>                      |
| Public Institutions   | E-Voting       | 136053             | 108765  | 79.9431                                 | 0                        | 108765                 | 0.0000                               | 100.0000                           |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>108765</b>   | <b>79.9431</b>                          | <b>0</b>                 | <b>108765</b>          | <b>0.0000</b>                        | <b>100.0000</b>                    |
| Public Non Institutions   | E-Voting       | 6142993            | 282144  | 4.5929                                  | 282030                   | 114                    | 99.9596                              | 0.0404                             |
|   | Poll           |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | Postal Ballot  |                    | 0   | 0.0000                                  | 0                        | 0                      | 0.0000                               | 0.0000                             |
|   | <b>Total</b>   |                    | <b>282144</b>   | <b>4.5929</b>                           | <b>282030</b>            | <b>114</b>             | <b>99.9596</b>                       | <b>0.0404</b>                      |
| <b>Total</b>  |                | <b>24633030</b>    | <b>18744893</b>   | <b>76.0966</b>                          | <b>18636014</b>          | <b>108879</b>          | <b>99.4192</b>                       | <b>0.5808</b>                      |

The above special resolution set out in the Notice of Postal Ballot dated 28<sup>th</sup> October 2021 is passed by the shareholders with the requisite majority.

Kindly take the above information on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For Emkay Global Financial Services Limited

  
B. M. Raul

Company Secretary & Compliance Officer

Encl: As above





**PARIKH & ASSOCIATES**  
**COMPANY SECRETARIES**

Office

111, 11<sup>th</sup> Floor, Sai-Dwar CHS Ltd  
Sab TV Lane, Opp Laxmi Industrial Estate,  
Off Link Road, Above Shabari Restaurant,  
Andheri (W), Mumbai : 400053  
Tel No 26301232 / 26301233 / 26301240  
Email : [cs@parikhassociates.com](mailto:cs@parikhassociates.com)  
[parikh.associates@rediffmail.com](mailto:parikh.associates@rediffmail.com)

December 20, 2021

To,  
**Emkay Global Financial Services Limited**  
The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West),  
Mumbai-400028

**Kind Attn: Mr. Bhalchandra Raul**

Sub.: Report on Postal Ballot Voting of Emkay Global Financial Services Limited.

Dear Sir,

I refer to my appointment as Scrutinizer to conduct the postal ballot process in respect of the following Special Resolutions for:

- (i) Alteration of Main Object Clause of the Memorandum of Association of the Company by Inserting New Object.
- (ii) Alteration of Articles of Association of the Company.
- (iii) Enhancement of the existing limit of Borrowing under Section 180 (1) (C) of the Companies Act, 2013.
- (iv) Enhancement of existing limit of Creation of Charge on the movable and immovable properties of the company under section 180 (1) (a) of the companies act, 2013.

I now enclose the following:

- a) My report to the Chairman of the Company on the result of the postal ballots received from shareholders only through the remote electronic voting process.
- b) The register showing the particulars of the e-votes registered on the Central Depository Services (India) Limited ("CDSL") e-voting system in respect of the said Resolution.

Thanking-you.

Yours faithfully,

MITESH

DHABLIWALA

Digitally signed by MITESH  
DHABLIWALA  
Date: 2021.12.20 16:48:45 +05'30'

Mitesh Dhabliwala  
Parikh & Associates

Encl.: As above.

To,  
The Chairman  
**Emkay Global Financial Services Limited**  
The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West),  
Mumbai-400028

**Report of Scrutinizer**

I, Mitesh Dhaliwala, of Parikh & Associates, Practising Company Secretaries (Membership No. FCS 8331), having my office at 111, 11th Floor, Sai Dwar CHS Ltd., Sab TV Lane, Opp. Laxmi Indl. Estate, Off Link Road, Andheri (West), Mumbai-400053, have been appointed as the Scrutinizer to conduct the remote electronic voting process ("remote e-Voting") in respect of the following Special Resolutions for:

- (i) Alteration of Main Object Clause of the Memorandum of Association of the Company by Inserting New Object.
- (ii) Alteration of Articles of Association of the Company.
- (iii) Enhancement of the existing limit of Borrowing under Section 180 (1) (C) of the Companies Act, 2013.
- (iv) Enhancement of existing limit of Creation of Charge on the movable and immovable properties of the company under section 180 (1) (a) of the companies act, 2013.

pursuant to the Notice dated October 28, 2021, issued under Section 110 and other applicable provisions, if any, of the Companies Act, 2013 (the "Act") (including any statutory modification or re-enactment thereof for the time being in force) read with Rule 22 of the Companies (Management and Administration) Rules, 2014 (the "Rules"), as amended from time to time, read with the General Circular No. 14/ 2020 dated April 8, 2020, the General Circular No. 17/ 2020 dated April 13, 2020 and the General Circular No. 10/ 2021 dated June 23, 2021 in relation to "*Clarification on passing of ordinary and special resolutions by companies under the Companies Act, 2013 and the rules made thereunder on account of the threat posed by Covid-19*" issued by the Ministry of Corporate Affairs, Government of India (the "MCA Circulars") and other applicable laws and regulations.

The Notice dated October 28, 2021 along with statement setting out material facts under Section 102 of the Act in respect of the above mentioned resolution, as confirmed by the Company, was sent, via e-mail only to the Members whose names appeared in the Register of Members / List of Beneficial Owners as received from National Securities Depository Limited ("NSDL") and Central Depository Services (India) Limited ("CDSL") and whose e-mail addresses were available with the Company as on Friday, November 12, 2021 ("cut-off date").

The Company had availed the e-voting facility offered by CDSL for conducting e-voting by the shareholders of the Company.

--2--

The shareholders of the Company holding shares as on the cut-off date of Friday, November 12, 2021 were entitled to vote on the resolution as contained in the Notice.

The voting period for remote e-voting commenced on Friday, November 19, 2021 at 09:00 a.m. (IST) and ended on Saturday, December 18, 2021 at 05:00 p.m. (IST) and the CDSL e-voting module was disabled thereafter.

The votes cast under remote e-voting facility were thereafter unblocked.

I have scrutinized and reviewed the votes cast through remote e-voting based on the data downloaded from the CDSL e-voting system and have maintained a register in which necessary entries have been made in accordance with the Companies (Management and Administration) Rules, 2014, as amended.

The Management of the Company is responsible to ensure compliance with the requirements of the Companies Act, 2013, rules and the MCA Circulars relating to remote e-voting on the Resolutions contained in the notice of Postal Ballot.

My responsibility as scrutinizer for the voting through remote e-voting is restricted to making a Scrutinizer's Report of the votes cast in favour or against the said resolutions.

I now submit my Scrutinizer Report on the results of the voting by postal ballot only through the remote electronic voting process in respect of the Special Resolutions as under:

Contd....3

**Resolution 1: Special Resolution****Alteration of Main Object Clause of the Memorandum of Association of the Company by Inserting New Object.**(i) Voted in **favour** of the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 45                      | 1,87,44,779                         | 100.00(Rounded Off)                   |

(ii) Voted **against** the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 2                       | 114                                 | 0.00                                  |

(iii) **Invalid** votes:

| Number of members whose votes were declared invalid | Number of invalid votes (Shares) |
|---|----------------------------------|
| NIL   | NIL                              |

Contd....4

**Resolution 2: Special Resolution****Alteration of Articles of Association of the Company.**(i) Voted in **favour** of the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 45                      | 1,87,44,779                         | 100.00(Rounded Off)                   |

(ii) Voted **against** the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 2                       | 114                                 | 0.00                                  |

(iii) **Invalid** votes:

| Number of members whose votes were declared invalid | Number of invalid votes (Shares) |
|---|----------------------------------|
| NIL   | NIL                              |



**Resolution 3: Special Resolution****Enhancement of the existing limit of Borrowing under Section 180 (1) (C) of the Companies Act, 2013.**(i) Voted in **favour** of the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 44                      | 1,86,36,014                         | 99.42                                 |

(ii) Voted **against** the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 3                       | 1,08,879                            | 0.58                                  |

(iii) **Invalid** votes:

| Number of members whose votes were declared invalid | Number of invalid votes (Shares) |
|---|----------------------------------|
| NIL   | NIL                              |

**Resolution 4: Special Resolution**

**Enhancement of existing limit of Creation of Charge on the movable and immovable properties of the company under section 180 (1) (a) of the companies act, 2013.**

(i) Voted in **favour** of the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 44                      | 1,86,36,014                         | 99.42                                 |

(ii) Voted **against** the resolution:

| Number of members voted | Number of valid votes cast (Shares) | % of total number of valid votes cast |
|-------------------------|-------------------------------------|---------------------------------------|
| 3                       | 1,08,879                            | 0.58                                  |

(iii) **Invalid** votes:

| Number of members whose votes were declared invalid | Number of invalid votes (Shares) |
|---|----------------------------------|
| NIL   | NIL                              |

Dated: December 20, 2021  
Place: Mumbai

**MITESH**  
 Digitally signed by MITESH  
 DHABLIWALA  
 Date: 2021.12.20 16:49:27  
 +05'30'  
 Signature: **DHABLIWALA**  
 Name : Mitesh Dhabliwala  
 Scrutinizer  
 FCS: 8331 CP: 9511  
 UDIN: F008331C001827929  
 PR: 1129/2021