



## KOTHARI FERMENTATION AND BIOCHEM LTD.

An ISO 22000 : 2018 & HALAL Certified Company

REGD. OFFICE: 16, COMMUNITY CENTRE, 1ST FLOOR, SAKET, NEW DELHI-110017

TEL. : 26517665, 26850004, 40590944 E-mail : kfbt@airtelmail.in

Web : www.kothariyeast.in CIN : L72411DL1990PLC042502

31<sup>st</sup> May, 2023

To,  
The Manager- Listing,  
The Bombay Stock Exchange Limited,  
Phiroz Jeejeebhoy Towers,  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai-400001

Ref: Scrip Code No. 507474

Subject: Intimation to Shareholders holding Shares in Physical Mode.

Sir,

Pursuant to Regulation 30 and Part A of Schedule III of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith a copy of the letter sent to the shareholders holding shares in physical mode in compliance with the SEBI Circular No SEBI/HO/MIRSD/MIRSD\_RTAMB/P/ CIR/2021/655 dated November 03, 2021, SEBI/HO/MIRSD/MIRSD\_RTAMB/P/ CIR/2021/687 dated December 14, 2021 & SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023.

The company has informed the physical shareholder to furnish their PAN, KYC and nomination details to the Company or the Registrars and Transfer Agent of the company i.e. Abhipra Capital Limited.

This is for your kind information and records.

Thanking you,

Yours faithfully,

FOR KOTHARI FERMENTATION & BIOCHEM LIMITED

  
Silky Gupta  
Company Secretary





**KOTHARI FERMENTATION AND BIOCHEM LTD.**

Regd. Office.: 16, Community Centre, 1st Floor, Saket, New Delhi, Delhi, 110017

CIN: L72411DL1990PLC042502

Email id : [info@kothariyeast.in](mailto:info@kothariyeast.in) ; Website : [www.kothariyeast.in](http://www.kothariyeast.in) ; Tel : +91-11-26850004

TO THE SHAREHOLDERS OF KOTHARI FERMENTATION AND BIOCHEM LTD HOLDING SHARES IN PHYSICAL FORM :

Dear Shareholders,

Date: 20th, May 2023

**Sub: Request for Mandatory Updation of KYC/Nomination details of the shareholders-Reminder**

Pursuant to SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/ 2021/655 dated Nov 03, 2021, Circular No. SEBI/ HO/ MIRSD/MIRSD\_RTAMB/P/CIR/2021/687 dated Dec 14, 2021 and SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023 in which SEBI has prescribed Common and Simplified Norms for processing investor's service requests by RTAs and norms for mandatory furnishing of PAN, KYC details, BANK, Nomination etc. from all the shareholders, holding shares in physical form through their RTA.

In this regard and in continuation of our earlier communication, dated 5<sup>th</sup> February, 2022, as per our record your KYC details, BANK, PAN, Specimen Signature/s, Nomination etc. are not available in the folio. It is requested therefore to please submit the following details in attached formats along with the supporting document/s once again to complete your KYC details.

**As per the instructions of the SEBI vide above mentioned circulars, in case the KYC details along with nomination is not updated in the folio by 30<sup>th</sup> September, 2023, the transactions in the Folio shares shall be frozen from October 1<sup>st</sup>, 2023 and no service request will be processed in the Folio.** The Company/RTA shall refer such frozen securities to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and/ or Prevention of Money Laundering Act, 2002, if they continue to remain frozen upto December 31, 2025.

It is therefore requested to please submit the following details in the enclosed formats (wherever applicable) along with the supporting document/s within 30 days from receipt of this letter mandatorily to our registrar, ABHIPRA CAPITAL LTD, UNIT: KOTHARI FERMENTATION AND BIOCHEM LTD, G/F, ABHIPRA COMPLEX, DILKHUSH INDUSTRIAL AREA, A-387, G T Karnal Road, Azadpur, New Delhi-110033

1. Self-attested copy of PAN card & Address proof (Preferably Aadhar) of the shareholder/s as per FORM ISR-1 enclosed.
2. Confirmation of Specimen Signature and Bank details from your Banker along with Cancelled cheque leaf with printed name (If name is not printed on the cheque, please provide legible copy of Bank passbook/Bank statement with Bank's stamp) as per FORM ISR-2.
3. Duly filled in and signed form for NOMINATION as per Form No. SH-13 enclosed.
4. Valid Mobile No & Email address to be provided as per enclosed format.

\*All forms mentioned above and other relevant information are attached herewith and are also available on the website of the Company [www.kothariyeast.in](http://www.kothariyeast.in)

Further, SEBI had issued SEBI (Listing Obligations and Disclosure Requirements) 4<sup>th</sup> Amendment Regulations, 2018 and amended the provision relating to transfer of listed Securities. The amendment mandates that a request for transfer of securities shall not be processed unless the securities are held by the shareholders in dematerialized form. The aforesaid amendment is already effective from April 01, 2021.

**"THEREFORE, SHAREHOLDERS ARE REQUESTED TO COMPLETE THE KYC AND GET THEIR SHARES COMPULSARILY DEMATERIALIZED ON PRIORITY."**

Yours Faithfully,

FOR KOTHARI FERMENTATION AND BIOCHEM LTD

Sd/-

SILKY GUPTA

(Company Secretary & Compliance Officer)

Tel: +91-11-26850004

Email id: [info@kothariyeast.in](mailto:info@kothariyeast.in)

Web : [www.kothariyeast.in](http://www.kothariyeast.in)

**RTA Correspondence Address:**

ABHIPRA CAPITAL LTD

G/F, ABHIPRA COMPLEX, DILKHUSH INDUSTRIAL AREA,

A-387, G T Karnal Road, Azadpur, New Delhi-110033

Tel: +91-11- 42390725

Email: [rta@abhipra.com](mailto:rta@abhipra.com)

Web: [www.abhipra.com](http://www.abhipra.com)

**Form ISR - 1**

(SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

**REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF**  
[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

**Name of the Company** : KOTHARI FERMENTATION AND BIOCHEM LTD  
**Registered Address of the Company** : 16, Community Centre, 1st Floor, Saket, New Delhi-110017  
**CIN** : L72411DL1990PLC042502

**A.** I / We request you to Register / Change / Update the following (Tick  relevant box) **Date:**

<input type="checkbox"/> PAN	<input type="checkbox"/> Address
<input type="checkbox"/> Bank details	<input type="checkbox"/> E-mail Id
<input type="checkbox"/> Signature	<input type="checkbox"/> Mobile number

**B. Security Details:**

<b>Name of the Issuer Company</b>	<b>KOTHARI FERMENTATION AND BIOCHEM LTD</b>	<b>Folio No.:</b>
<b>Name(s) of the Security holder(s) as per the Certificate(s)</b>	1. 2. 3.	
<b>Number &amp; Face value of securities</b>	No.	Face value@ Rs. 10.00/- each
<b>Distinctive number of securities</b>	From	To

**C.** I / We are submitting documents as per Table below (tick  as relevant, refer to the instructions):

	<b>Document/Information/Details</b>	<b>Instruction / Remark</b>
1	PAN of (all) the (joint) holder(s)	
	PAN - Whether it is Valid (linked to Aadhaar) : Yes      No	..... PAN shall be valid only if it is linked to Aadhaar by June 30, 2023
2	Demat Account Number	..... Also provide client master list (CML) of your Demat Account provided by Depository Participant
3	Proof of Address of the first holder	Provide any one of the documents, only if there is change in the address; • Client Master List (CML) of your Demat Account, provided by the Depository Participant • Valid Passport / Ration Card / Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill /Aadhaar card/Utility bill like Telephone bill (Only Landline), Electricity or Gas bill not more than 3 months old.
4	Bank details	Provide original cancelled cheque with name of the security holder printed on it. Or Bank Passbook or bank statement attested by the bank.
5	E-mail address	Alternatively the e-mail address available in the CML will be updated in the folio .....
6	Mobile	Alternatively the mobile number available in the CML will be updated in the folio .....

\*Or any dates as may be prescribed by CBDT

**Authorization:** I / We authorise you (RTA) to update the above PAN and KYC details in my / our folio (s).....  
in which I / We are the holder(s) (strike off what is not applicable).

**Declaration:** All the above facts stated are true and correct.

	<b>Holder 1</b>	<b>Holder 2</b>	<b>Holder 3</b>
Signature			
Name			
Full address with Pin-code			


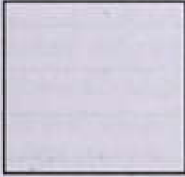
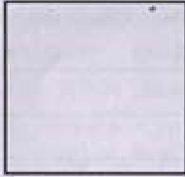
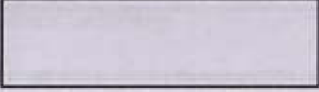


**Form ISR - 2**

(SEBI Circular No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated March 16, 2023 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

**Name of the Company** : KOTHARI FERMENTATION AND BIOCHEM LTD  
**Registered Address of the Company** : 16, Community Centre, 1st Floor, Saket, New Delhi-110017  
**CIN** : L72411DL1990PLC042502

**Confirmation of Signature of securities holder by the Banker**

Name of securities holder..... Folio No.....

1. Bank Name and Branch		
2. Bank contact details Postal Address Phone number E-mail address		
3. Bank Account number IFSC Code		
4. Account opening date		
5. Account holder(s) name(s)	1) 2) 3)	
6. Latest photograph of the account holder(s)		
1st Holder	2nd Holder	3rd Holder
		
7. Account holder(s) details as per Bank Records		
a) Address		
b) Phone number		
c) Email address		
d) Signature(s)		
1] 	2] 	3] 
Seal of the Bank	Signature verified as recorded with the Bank	
	(Signature)	
Place:	Name of the Bank Manager	
	Employee Code	
Date:	E-mail address	

Date: \_\_\_\_\_

**Form No. SH-13**  
**Nomination Form**

[Pursuant to Section 72 of the Companies Act, 2013 and rule 19(1) of the Companies (Share Capital and Debentures) Rules, 2014]

To

Name of the Company : KOTHARI FERMENTATION AND BIOCHEM LTD  
Registered Address of the Company : 16, Community Centre, 1st Floor, Saket, New Delhi-110017  
CIN : L72411DL1990PLC042502

I/We.....the holder(s) of the securities particulars of which are given hereunder wish to make nomination and do hereby nominate the following person in whom shall vest, all the rights in respect of such securities in the event of my/our death.

## (1) PARTICULARS OF THE SECURITIES (in respect of which nomination is being made)

Nature of securities	Folio No.	No. of securities	Certificate No.	Distinctive No.

## (2) PARTICULARS OF NOMINEE/S

- (a) Name : \_\_\_\_\_
- (b) Date of Birth: \_\_\_\_\_
- (c) Father's/Mother's/Spouse's name: \_\_\_\_\_
- (d) Occupation: \_\_\_\_\_
- (e) Nationality: \_\_\_\_\_
- (f) Address: \_\_\_\_\_
- (g) E-mail id: \_\_\_\_\_
- (h) Relationship with the security holder: \_\_\_\_\_

## (3) IN CASE NOMINEE IS A MINOR --

- (a) Date of birth: \_\_\_\_\_
- (b) Date of attaining majority: \_\_\_\_\_
- (c) Name of guardian: \_\_\_\_\_
- (d) Address of guardian: \_\_\_\_\_

Name & Address of Security holder(s)	Name & Address of witness:
Name:	Name:
Address:	Address:
Signature:	Signature: