



16 July, 2020

The Manager Listing Department, National Stock Exchange of India Limited

(Symbol: SPENCERS)
Exchange Plaza, 5th Floor
Plot No. C/1, G-Block
Bandra-Kurla Complex
Bandra (East),
Mumbai – 400 051

The General Manager
Department of Corporate Service,
BSE Limited
(Scrip Code: 542337)
Phiroze Jeejeebhoy Tower
Dalal Street
Mumbai – 400 001

The Secretary
The Calcutta Stock Exchange Limited
(Serin Code: 20028)

(Scrip Code: 30028)
7, Lyons Range
Kolkata – 700 001

Dear Sir,

<u>Sub: Intimation under Regulation 39(3) of SEBI (LODR) Regulation 2015, regarding loss/misplace of Share Certificate</u>

Pursuant to Regulation 39(3) of SEBI (LODR) Regulation 2015, this is to inform you that the Company has received request for issue of duplicate share certificate (Copy enclosed) against the certificate reported to be lost/misplaced as per details given below:

Stop Transfer Date	Folio No	Name	Certificate No.	Distinctive No.	No. of Shares	Reason
15 July 2020	050003	HIRALBEN	4950	942517 -	30	Lost By
		GIRISH PATEL		942546		Holder

You are requested to kindly take the above information on your record.

Thanking you,

Yours faithfully,

For Spencer's Retail Limited

Rama Kant

(Company Secretary & Compliance Officer)

FCS-4818

Encl.: as above

Inward

From:

jayprakash vp <jayprakash.vp@linkintime.co.in>

Sent:

Tuesday, July 14, 2020 12:03 PM

To:

karunakara poojari

Cc:

Shanti Gopalkrishnan; jayshree manantra; Inward Linkintime; royson monteiro

Subject:

Fwd: CESC Ventures Limited-SEBI SCORES Complaint [Gap Areas]

Attachments:

Hiral Patel- CVL & SRL query- SEBI case- Reply copy.pdf; Annexure-1.pdf

Dear Sir,

Kindly inward inward the attached document for procedure for issuance of duplicate in both the company desperately on urgent basis. Once it inward, kindly handover the document to Ms. Jayshree immediately to process the document as it is related SEBI compliant hence marked to you.

Kolkata branch

1) Spencer's Retail Limited folio no 050003 name - HIRALBEN GIRISH PATEL 30 shares

2)CESC Ventures Limited

folio no 050003 name - HIRALBEN GIRISH PATEL 10 shares 14 JUL 2020 SPENCENS -> P11835 75 418

GEST VENTAL DE 1835 75 409

Please send a procedure for issuance of duplicate certificate for both the company on priority

---- Forwarded Message ----

From: "Shanti Gopalkrishnan" <shanti.gopalkrishnan@linkintime.co.in>

To: "jayprakash vp" <jayprakash.vp@linkintime.co.in> Cc: "Charithra Hegde" <charithra.hegde@linkintime.co.in>

Sent: Tuesday, July 14, 2020 10:49:20 AM

Subject: Fwd: CESC Ventures Limited-SEBI SCORES Complaint [Gap Areas]

JP,

This is a sEBI case and the reply copy is self explanatory. PI inward the annexure - 1, attached in the concerned folio and give the duplciate procedure. Once the letter is generated, attach a copy with the speed post number and share with me,m so this can be uploaded in sEBI. PI do this, ASAP, and let the person handling spencers know that this is a SEBI case and needs to be action ed immediately.

Thanks Shanti ---- Forwarded Message -----

From: "Amit Banerjee" <amit.banerjee@linkintime.co.in>

To: "Shanti Gopalkrishnan" <shanti.gopalkrishnan@linkintime.co.in>

Sent: Tuesday, July 14, 2020 7:25:54 AM

Subject: Fwd: FW: CESC Ventures Limited-SEBI SCORES Complaint [Gap Areas]

Dear Madam - For your attention please. Regards, Amit Kumar Banerjee

---- Forwarded Message ----

From: joydip chakraborty <joydip.chakraborty@rpsg.in>

To: 'Amit Banerjee' <amit.banerjee@linkintime.co.in>, 'Sujata Poojary' <sujata.poojary@linkintime.co.in>, shanti

gopalkrishnan <shanti.gopalkrishnan@linkintime.co.in>

Cc: 'Kuntal Mustafi' <kuntal.mustafi@linkintime.co.in>, sudip ghosh <sudip.ghosh@rpsg.in>, sayak chatterjee

<sayak.chatterjee@rpsg.in>

Sent: Mon, 13 Jul 2020 20:24:34 +0530 (IST)

Subject: FW: CESC Ventures Limited-SEBI SCORES Complaint [Gap Areas]

Dear Sir,

Kindly refer the trailing mail along with the reply letter, contents of which is self-explanatory.

On 3rd July, 2020 in response of the above mentioned letter the shareholder has again forwarded a letter in the Scores Portal (Annexure-1).

Request you to resolve the issues and for us to close the Scores Complaint.

In case of any clarifications, kindly let us know.

Regards,

Joydip Chakraborty.

----Original Message----

From: Shanti Gopalkrishnan <shanti.gopalkrishnan@linkintime.co.in>

Sent: 22 May 2020 16:21

To: joydip.chakraborty@rpsg.in

Cc: Amit Banerjee <amit.banerjee@linkintime.co.in>; Kuntal Mustafi <kuntal.mustafi@linkintime.co.in>; Sujata Poojary <sujata.poojary@linkintime.co.in>; BN ramakrishnan <bn.ramakrishnan@linkintime.co.in>; charithra hegde <charithra.hegde@linkintime.co.in>

Subject: CESC Ventures Limited-SEBI SCORES Complaint [Gap Areas]

Dear Sir,

Sharing herewith the ATR and the reply copy to the shareholder. Please upload the same from your end.

ATR to be uploaded:

The complaint is about non receipt of shares after the de merger announced by CESC limited in Nov 2018. On checking our records, we find that the demerged entities shares could not credited to the shareholders demat account, as the shareholder had closed the demat account and hence the shares were issued in physical and the same were dispatched to the registered address of the shareholder. The same has been explained in detail to the shareholder, vide our email dated, 22nd May, 2020. A copy of the same is attached herewith for your information.

Regards,

Shanti

---- Original Message ----

From: Joydip Chakraborty <joydip.chakraborty@rpsg.in>
To: Amit Banerjee <amit.banerjee@linkintime.co.in>

Cc: Sujata Poojary <sujata.poojary@linkintime.co.in>, Sayak Chatterjee <sayak.chatterjee@rpsg.in>

Sent: Thu, 14 May 2020 13:03:31 +0530 (IST)

Subject: Re: CESC Ventures Limited-SCORES Complain [Gap Areas]

Dear Sir,

As per the discussion, we had yesterday, I would like to bring to your notice that as per the Allotment Register, it is evident that the Shareholder has been allotted CVL shares in his Demat Account. However, there is a physical folio in his name in Insta Records. Therefore, since Link Intime India Pvt Limited was the Registrar to the Issue we request you to kindly look into the matter from your backoffice and resolve the Scores Complaint.

Thanks & Regards, Joydip Chakraborty.

On Tue, May 5, 2020 at 8:36 PM Amit Banerjee <amit.banerjee@linkintime.co.in> wrote:

Dear Sir - Please refer to our discussion just few minutes back on the subject.

We have gone through the complaint and we see that investor's holding in the CESC Limited is 50 shares in demat mode. There are certain feed backs needed from you to attend the case properly. We wish to put few areas for which need your inputs to reply to this query:

- 1) Against these 50 shares, was he given CESC Ventures shares after de-merger?
- 2) Under the name of HIRALBEN Girish Patel, we find a physical folio (0500003) with 10 share holding. Was these the shares that were allotted to her in view of de-merger.
- 3) Where is the share certificate, was this posted to the shareholder or with the company.
- 4) There was a reply from the company that the shares were credited to her old demat account, which was closed at the time of credit. If so, then do you have a drop cases list ??

Please assist to reply to this complaint routed through SEBI. With Best Regards,

Amiit Kumar Banerjee

---- Forwarded Message -----

From: "joydip chakraborty" <joydip.chakraborty@rpsg.in>

To: "Amit Banerjee" <amit.banerjee@linkintime.co.in>, "Kuntal Mustafi" <kuntal.mustafi@linkintime.co.in> Cc: "sujata poojary" <sujata.poojary@linkintime.co.in>, "sudip ghosh" <sudip.ghosh@rpsg.in>, "sayak chatterjee"

<sayak.chatterjee@rpsg.in>

Sent: Thursday, April 30, 2020 5:06:58 PM

Subject: CESC Ventures Limited-SCORES Complain

Dear Sir,

May pls find attached herewith a complaint in SEBI Scores Portal of CESC Ventures Limited.

Request you to kindly look into the matter and provide a suitable reply.

Regards,
Joydip Chakraborty.
From: doNotReply@sebi.gov.in <mailto:donotreply@sebi.gov.in> <donotreply@sebi.gov.in> <mailto:donotreply@sebi.gov.in>> Sent: 30 April 2020 14:19</mailto:donotreply@sebi.gov.in></donotreply@sebi.gov.in></mailto:donotreply@sebi.gov.in>
To: sudip.ghosh@rp-sg.in <mailto:sudip.ghosh@rp-sg.in> Subject: FORWARD TO ORGANIZATION</mailto:sudip.ghosh@rp-sg.in>
Dear User,
SCORES Request No. SEBIE/WB20/0000793/1
SCORES Request No. SEBIE/ WB20/0000733/1
Please find the below Details :
Complaint RegNo:
SEBIE/WB20/0000793/1
3EBIC/ WB20/0000793/1
Status:
Action
Category:
Refund/Allotment/ Dividend/Transfer/ Bonus/Rights/ Redemption/Interest
Returna/Anotherry Dividency Haristery Borids/ Rights/ Redemption/Interest
Nature Of Complaint:
Non-receipt of shares after Conversion / endorsement / consolidation / splitting
Complaint Against:
CESC VENTURES LIMITED
CESC VENTONES ENVITED
Remarks:
For necessary resolution please.

Thanks & Regards, SEBI SCORES

Please note: This is an auto generated email. Please do not reply.

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| Note: This sheet is applicable for uploading the particulars related to the shares transferred to investor Education and Protection Fund.
CIN | Campany Name | Campany Na

Actual Date of transfer to	23-Dec-2017	23-0ec-2017	23-Dec-2017	23-Dec-2017	23-Dec-2017	23-Dec-2017
Nominal value of shares	2000	2000	1000	2000	3000	2000
Mumber of shares	300	380	100	300	200	200
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Country	INDIA	INDIA	INDIA	INDIA	INDIA	INDIA
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Father/H urband First Name	2	NA	2	2	¥	A A
Investor Last Name		AGARWAL	AGARWAL	AGARWAL	AGRAWAL	AGRAWAL
Investor Middle Name	DEVI	DEM	DEVI	DEM	мад	DEVI
Investor First Name	LAVAMI	LAUMI	LAXMI	LAXBAI	LACOAL	LAXMI

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LAZBAII	LAXMI	LAXMI	LAXMI	LAXMI	LAXMI	LAXMI	LAXONI	LAXMI	

From: "Shanti Gopalkrishnan" <shanti.gopalkrishnan@linkintime.co.in>

To: "hiralpatel082" < hiralpatel082@gmail.com>

Cc: "Shanti Gopalkrishnan" <shanti.gopalkrishnan@linkintime.co.in>

Sent: Friday, May 22, 2020 4:11:40 PM

Subject: Non receipt of share certificate after the de-merger of the company- SEBI Complaint with

regn.no SEBIE/WB20/0000793/1

Dear Sir/ Madam,

After going through the case, we wish to state the following:

- 1. Shareholder was holding 50 shares of CESC limited under demat folio. IN30051383904005.
- 2. Upon demerger announced by the company in Nov 2018, shareholder received 10 shares of CESC Ventures Ltd (CVL) and 30 shares of spencers Retail ltd.(SRL).
- 3. As the demat registered with us of the shareholder, as on record date, was closed, the credit of shares of the de-merged entity was done in the physical mode and the above share certificates (both CVL & SRL) were dispatched to the registered shareholder.
- 4. The certificates were sent to the registered address (in Nov 2018) as in our records and the same has not been returned to us as unclaimed.
- 4. Now, as the shareholder has not received the same, you need to check at your end once again.
- 5. If the same is not traceable then, the shareholder need to comply with the duplicate procedure.
- 6. Once you confirm that the share certificates are not traceable, you can write to us and we will then share the duplicate procedure with you.

Trust this is helpful.

Regards,

TEAM LINK INTIME Office Address:

Link Intime India Pvt. Ltd 247 Park, C-101 L.B.S. Marg Vikhroli (West), Mumbai 400083.

Website Address: [http://www.linkintime.co.in/ | www.linkintime.co.in]

Email Address: [mailto:rnt.helpdesk@linkintime.co.in | rnt.helpdesk@linkintime.co.in]

Phone: [callto:+91 22 49186270 | +91 22 49186270]

Registration: SEBIE/WB20/0000970/1

No.

Complainant: Hiralben Girish Patel

Name

Complaint Details : Dear Sir, I even though pursued the company for getting my entitlement of CESC Ventures and Spencer retail shares after the demerger of CESCs main company happened. I contacted the company for the same about 12 months ago and got a reply that they have credited the same in my old account at Motilal Oswal Financial Services. Since at the time of credit of this demerged shares, I had closed Motilal Oswal Demate Account and switched over to following brokerage house with followings details Name - Hiralben Girish Patel Broking House - ShareKhan Ltd DP ID IN300513 Customer ID 2375381 Client Beneficiary Number DP - IN30051383904005 CESC Holding Shares - 50 Please investigate the matter and request the company to give me entitlement credit of demerged shares for CESC Ventures and Spencer Retail in the Sharekhan brokerage demate account. I confirmed that till date I havent received any certificate till date. Can you please provide procedure for a duplicate. Sincerely Hiral Patel 942728913

Print Close

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