

# CONFIDENCE FINANCE AND TRADING LIMITED

Registered Office: 9, Botawala Building, 3<sup>rd</sup> Floor, 11/13, Horniman Circle, Fort, Mumbai 400001

Tel: 22662150 [www.ctcl.co.in](http://www.ctcl.co.in) [inctclbse@gmail.com](mailto:inctclbse@gmail.com) CIN:L51909MH1980PLC231713

---

Date:11/10/2021

To,  
The Manager  
DCS-CRD  
BSE Ltd.  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort,  
Mumbai – 400001

## **Scrip Code: 504340**

Sub: Filing of Certificate pursuant to Regulation 40 (9) & (10) of SEBI (LODR) Regulations, 2015 for the Half Year ended on 30<sup>th</sup> September, 2021.


Dear Sir/Madam,

Pursuant to Regulation 40(9)&(10)of the SEBI (LODR) Regulations, 2015, we are enclosing herewith a certificate issued by Ritika Agrawal ,Practising Company Secretary for the half year ended on 30<sup>th</sup> September, 2021.

You are requested to please take on record the above said document for your reference & further needful.

Thanking You  
Yours Faithfully

**For Confidence Finance and Trading Limited**



**SHWETA SHARMA  
COMPANY SECRETARY &  
COMPLIANCE OFFICER**

Encl: as above



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2021**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **CONFIDENCE FINANCE AND TRADING LIMITED** having CIN **L51909MH1980PLC231713** and having registered office at 9, Botawala Building, 3rd Floor, 11/13, Horniman Circle, Fort, Mumbai 400001 (hereinafter referred to as 'the Company'), maintained by Purva Sharegistry (India) Pvt Ltd, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 01<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, we hereby certify that:

- A. the Company has not delivered during half year ended on 30<sup>th</sup> September, 2021 Share Certificates relating to the Transfer of Shares during the period from 01<sup>st</sup> April, 2021 to 30<sup>th</sup> September, 2021 as there were no request received for transfer, consolidation, sub-division (spilt), renewal and exchange or endorsement of calls/allotment monies during the aforesaid period as per the records made available to us
- B. any other information, if applicable: None

For Ritika Agrawal & Associates

*Ritika Agrawal*

Ritika Agrawal  
Proprietor  
M. No. 8949  
COP No. 8266  
UDIN: F008949C001146481



Place: Mumbai  
Date: 11/10/2021