

May 04, 2020

<b>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773</b>	<b>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC</b>
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Dear Sir/Madam,

**Sub:- Submission of Compliance Certificate pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

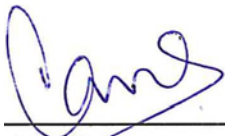
Pursuant to Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we have enclosed captioned certificate, for the half year ended March 31, 2020, counter signed by the authorised representative of Link Intime India Private Limited, Registrar & Share Transfer Agent of the Company.

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

**For IIFL Securities Limited**



**Roshan Dave**

**Company Secretary**

Email: [secretarial@iifl.com](mailto:secretarial@iifl.com)

Encl: as above

**IIFL Securities Limited (Formerly "India Infoline Limited")**

Corporate Identity Number: **L99999MH1996PLC132983**

**Regd. Office:** IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane - 400 604  
Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • E-mail: [secretarial@iifl.com](mailto:secretarial@iifl.com) • Website: [www.indiainfoline.com](http://www.indiainfoline.com)



# IIFL SECURITIES

04 May 2020

<b>BSE Limited</b> P J Towers, Dalal Street, Mumbai - 400001  <b>Script Code : 542773</b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza, Bandra Kurla Complex, Bandra (E), Mumbai - 400051  <b>NSE Symbol : IIFLSEC</b>
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Dear Sir/Madam,

**Sub : Compliance Certificate - Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31st March, 2020 .**

**Unit : IIFL Securities Limited**

As per the requirement of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that activities in relation to both physical and electronic share transfer facility during the period 1st October, 2019 to 31st March, 2020 (both days inclusive) are maintained by the Company's Registrar & Transfer Agent, viz. Link Intime India Private Limited, which is registered as Registrar & Transfer Agent in Category-I with the Securities and Exchange Board of India vide Registration Number : INR000004058.

Thanking You,

For IIFL Securities Limited

Authorized Signatory



For Link Intime India Private Limited

Signature valid

Digitally signed by B N Ramkrishnan  
Date: 2020.05.04 19:26:52 +05:30  
Reason: Compliance  
Location: Mumbai

Authorized Signatory

IIFL Securities Limited (Formerly "India Infoline Limited")  
Corporate Identity Number: L99999MH1996PLC132983

Regd . Office: IIFL House, Sun Infotech Park, Road No . 16V, Plot No . B-23, MIDC, Thane Industrial Area,  
Wagle Estate, Thane - 400 604

Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654

•E-mail: secretarial@iifl .com • Website: www .indiainfoline .com