



IST LIMITED

Dated: 30th June, 2021

The Secretary,
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai – 400 001

(BSE Scrip Code: 508807)

Sub: Submission of Annual Secretarial Compliance Report for the year ended 31st March, 2021

Dear Sir(s),

Pursuant to Regulation 24A of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019, please find enclosed herewith the Annual Secretarial Compliance Report for the year ended 31st March, 2021

Kindly acknowledge the above and take the same on record.

Thanking you.

Yours Faithfully,
For IST Limited




Bhupinder Kumar
Company Secretary
A15871



CIN - L33301HR1976PLC008316

Head off. : A-23, New Office Complex, Defence Colony, New Delhi-110024 (India)

Phones : 24694291-92, 24617319 Fax : 011-24625694

Regd. Off. & Factory : Dharuhera Industrial Complex, Delhi-Jaipur Highway No. 8,
Village Kapriwas, Dharuhera, Distt. Rewari-123106 (Haryana)

Phones : 01274-267346-48, Fax : 01274-267444

E-mail : istgroup.ho@gmail.com Website : www.istindia.com

MT & Co.
Company Secretaries
35/2, Street No. 6, Friends Colony Industrial Area, Shahdara, Delhi-110095
Phone No.22582283, Mobile No.9899697330
Email:tumul11@gmail.com

Secretarial Compliance Report of IST Limited
For the year ended 31st March 2021

I, Tumul Maheshwari have examined:

- (a) all the documents and records made available to us and explanation provided by IST Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31/03/2021 ("Review Period") in respect of compliance with the provisions of :
 - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) SEBI (Depositories and Participants) Regulation, 2018



j) The provisions of the Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993;
and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder except in respect of matters specified below:-

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific)	Deviations	Observations/ Remarks of the clause) Practicing Company
1	Regulation 17(1) of SEBI LODR, 2015	Appointment of one Independent Women Director	One new Independent Women Director appointed w.e.f. 11/01/2021
2	Regulation 27(2) of SEBI LODR, 2015	Delayed submission of quarterly compliance report on corporate governance	Company is in the process of depositing applicable fine
3	Regulation 29(2)/29(3) of SEBI LODR, 2015	Delay in furnishing prior intimation about the meeting of the board of directors	Company has deposited applicable fine

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

(c) There were no instances for actions to be taken against the Company/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder except, specified below:-

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
1	Bombay Stock Exchange	Regulation 17(1) of SEBI LODR, 2015	Imposition of fine	Company has requested stock exchange for waiver of penalty and matter is still pending
2	Bombay Stock Exchange	Regulation 27(2) of SEBI LODR, 2015	Imposition of fine	Company is in the process of depositing applicable fine
3	Bombay Stock Exchange	Regulation 29(2)/29(3) of SEBI LODR, 2015	Imposition of fine	Company has deposited applicable fine



(d) The listed entity has taken the following actions to comply with the observations made in previous reports:


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Place: Delhi

Date: 10/06/2021

UDIN No. A016464C000442758

For MT & Co.
Company Secretaries


(Tumul Maheshwari)
Proprietor
ACS No. 16464
C.P. No. 5554

Note: The COVID-19 outbreak has been declared as a global pandemic by WHO. In the month of April 2021, Delhi government announced a lockdown which was extended multiple times for various days across the state to contain the spread of the virus. Due to COVID-19 pandemic impact, the compliance documents for the period were obtained through electronic mode and verified with requirements.