



**Ref: SSFL/Stock Exchange/2020-21/77**

**Date: October 10, 2020**

**To  
BSE Limited,  
Department of Corporate Services  
P. J. Towers, 25<sup>th</sup> Floor,  
Dalal Street,  
Mumbai - 400001**

**To  
National Stock Exchange of India Limited,  
Listing Department  
Exchange Plaza, C-1, Block G  
Bandra Kurla Complex, Bandra (E)  
Mumbai - 400051**

**Scrip Code: 1. Equity-542759  
2. Debt- 956928, 957066,  
957126, 958432, 959613 &  
960035**

**Symbol: SPANDANA**

**Sub: Submission of Compliance Certificate under Regulation 7(3) SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2020.**

Dear Sir/Madam,

In compliance of Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are furnishing herewith compliance certificate for the half year ended September 30, 2020.

We request you to kindly take the above on record.

Thanking you.

**For Spandana Sphoorty Financial Limited**

**Ramesh Periasamy  
Company Secretary & Compliance Officer**

*Encl: as above*



### **CERTIFICATE**

This is to certify that, Spandana Sphoorty Financial Limited ("the Company") has appointed KFIN TECHNOLOGIES PRIVATE LIMITED ("KFIN") as the Share Transfer Agent of the Company for providing Registry and Share Transfer services to the Company.

Further certify that:

1. All activities in relation to both physical and electronic share transfer facility are being maintained by KFIN;
2. KFIN holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vides SEBI Registration No. INR000000221 issued by Securities Exchange Board of India ("SEBI").

This certificate is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

A compliance certificate issued by KFIN dated October 7, 2020 is attached.

**For Spandana Sphoorty Financial Limited**

**Ramesh Periasamy**  
**Company Secretary & Compliance Officer**

Date: October 10, 2020

Place: Hyderabad

COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KFIN TECHNOLOGIES PRIVATE LIMITED (KFIN) is providing Registry and Share Transfer services to SPANDANA SPOORTY FINANCIAL LTD and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFIN:

a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).

b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.

c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI(Listing Obligations and disclosure requirements) Regulations, 2015.

For KFIN TECHNOLOGIES PRIVATE LIMITED



C Shobha Anand  
Dy. Gen. Manager

Place : Hyderabad  
Date : 07/10/2020