



Date: 20/11/2020

To, BSE Limited Listing Department P. J. Towers, Dalal Street, Mumbai – 400001 BSE Limited: 532737	To, National Stock Exchange of India Limited Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400051 NSE Symbol: EMKAY
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Dear Sir,

Sub.: Newspaper Clipping regarding publication of Postal Ballot Notice pursuant to Regulation 47 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We are enclosing herewith clipping of the Postal Ballot Notice published in The Free Press Journal (English daily) and Navshakti (Marathi daily) on November 20, 2020 pursuant to Regulation 47 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, for your record.

In this regard, please find enclosed herewith newspaper clipping.

Thanking you,

Yours Faithfully,

For Emkay Global Financial Services Limited

B.M.Raul
Company Secretary & Compliance Officer



Encl.: As above



Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Birla Centurion, Worli, Mumbai - 400 013. Tel: +91 22 6629 9299 Fax: +91 22 6629 9105
Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L67120MH1995PLC084899

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

Emkay **ENKAY GLOBAL FINANCIAL SERVICES LIMITED**
Registered Office: The Ruby, 7th Floor, Sarapali Sagar Marg,
Dadar (West), Mumbai - 400 028. CIN No.: L67120MH1995PLC034899
Tel. No.: 022-66121212. Fax No.: 022-66121299
Email: secretaria@emkayglobal.com Website: www.emkayglobal.com

NOTICE TO MEMBERS

NOTICE IS HEREBY GIVEN that pursuant to the provisions of Section 110 read with Section 108 of the Companies Act, 2013 ("The Act") read with Rule 22 of the Companies (Management and Administration Rules), 2014 (including any statutory modification(s) or re-enactment thereof for the time being in force), Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), Secretarial Standard on General Meetings (SS-2) to the extent applicable read with the General Circular No. 14/2020 dated April 8, 2020 and the General Circular No. 17/2020 dated April 13, 2020, in relation to "Clarification on passing of ordinary and special resolutions by Companies under the Act and the Rules made thereunder on account of the threat posed by COVID-19 and the General Circular No. 33/2020 dated 28th September, 2020 in relation to extension of the framework provided in the aforementioned circulars up to December 31, 2020, issued by the Ministry of Corporate Affairs Government of India (the "MCA Circulars") and any other applicable laws and regulations, the Company is seeking approval of the shareholders by way of Postal Ballot which includes voting by electronic means in respect of the resolution set out in the Postal Ballot Notice dated 30th October, 2020 (Postal Ballot Notice) for the following business to be approved by way of Special Resolution:

- Grant of Stock Options to Mr. Nirav Sheth, CEO-Institutional Equities in excess of 1% of Issued Equity Capital of the Company under Employees Stock Option Plan-2018.

Due to difficulty in dispatch of the Postal Ballot Notice along with the Explanatory Statement and Postal Ballot form by post or courier, on account of threat posed by COVID-19 pandemic situation and as permitted under the MCA Circulars, the company has completed dispatch of the Postal Ballot Notice on 19th November, 2020, in electronic mode only to all its members who have registered their email id with the Company/Depository Participant and with the companies Registrar and Transfer Agent i.e. Link Intime India Private Limited.

The Company has engaged the services of Central Depository Services (India) Ltd ("CDSL") to provide remote e-voting facility to the members of the Company.

The Members of the company are also informed and requested to note that:

1. A person whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories on Friday, 6th November, 2020 (cut-off date) shall be entitled to vote on the resolution proposed to be passed by Postal Ballot/e-voting. The Postal Ballot/E-voting rights of the shareholders/beneficial owners shall be reckoned on the equity shares held by them as on the Cut-off date i.e. 6th November, 2020 and shall be in proportion to their shareholding in the paid up equity share capital of the Company as on the cut-off date.
2. Due to difficulty in dispatch of the Postal Ballot Notice along with the Explanatory Statement on account of threat posed by COVID-19 pandemic situation and as permitted under the MCA Circulars, the company has dispatched the Postal Ballot Notice on 19th November, 2020, in electronic mode only to all its members to their E-mail addresses registered with the Company/Depository Participant/the Registrar & Transfer Agent, as the case may be.
3. The Company has completed dispatch of the Postal Ballot Notice by electronic mode only on 19th November, 2020.
4. For the business as set out in the Postal Ballot Notice, the Company has provided facility to the shareholders to exercise their right to vote by electronic means through e-voting (remote e-voting) facility provided by Central Depository Services (India) Limited (CDSL). Detailed procedure for e-voting has been provided in Notes to the Postal Ballot Notice.
5. The Board of Directors of the Company has appointed Mr. P.N. Parikh (Membership No. FCS 327), or failing him Mr. Mitesh Dhabliwala (Membership No. FCS 8331), of M/s Parikh & Associates, Practising Company Secretaries, Mumbai as the Scrutinizer for conducting the remote e-voting process in a fair and transparent manner.
6. The remote e-voting period commences on Friday, 20th November, 2020 at 9:00 a.m. and ends on Saturday, 19th December, 2020 at 5:00 p.m. and the remote voting shall not be allowed beyond 5:00 p.m on Saturday, 19th December, 2020.
7. E-voting will be disabled by CDSL at 5:00 p.m on Saturday, 19th December, 2020.
8. The Postal Ballot Notice is available on the Company's website www.emkayglobal.com and on CDSL's website www.evotingindia.com. Members who have not received the Postal Ballot Notice can download the same from the website of CDSL or the website of the Company or may request for the same to the Company/Link Intime India Private Limited (Registrar and Share Transfer Agent). The Company/Registrar and Share Transfer Agent of the Company shall forward the same to such members.
9. In case you have any queries or issues regarding e-voting, you may refer the Frequently Asked Questions ("FAQs") and e-voting manual available at www.evotingindia.com under help section of CDSL or write an email to helpdesk.evoting@cdslindia.com.
10. The Result of the Postal Ballot will be announced by the Company on Monday, 21st December, 2020. The results along with the Scrutinizer's Report will be posted on the website of the Company at www.emkayglobal.com and on CDSL's website at www.evotingindia.com.

For Emkay Global Financial Services Limited

Sd/-

B. M. Raul

Place: Mumbai
Date : 19th November, 2020

Company Secretary
& Compliance Officer

