



# Bal Pharma Limited

To,  
The Manager-Listing  
National Stock Exchange of India Ltd  
Mumbai.

12/04/2023

The Manager-Listing  
BSE LIMITED  
Mumbai.

Dear Sir,


Sub : Certificate as per Regulation 40(9) of SEBI(LODR) Regulations,2015.

With reference to the above, we are submitting to the exchange certificate from the practicing company secretary certifying compliance with the above mentioned regulation of SEBI(LODR) Regulations, for the financial year ended 31.03.2023.

Please take the same on record.

Thanking You.

For Bal Pharma Ltd

  
Authorised Signatory.



CORPORATE OFFICE : 5th Floor, Lakshmi Narayan Complex, 10/1, Palace Road, Bengaluru - 560 052. India

Ph. : 91 - 80 - 41379500 Fax : 91 - 80 - 22354057 / 58

Email : info@balpharma.com Website : www.balpharma.com

CIN # L85110KA1987PLC008368

REGD. OFFICE & FACTORY : 21 & 22, Bommasandra Industrial Area, Bengaluru - 560 099. Ph. :080-41570811 / 13 / 19 Fax : 41570820

VIJAYAKRISHNA KT  
Company Secretary

#:496/4, II Floor,  
10th Cross,  
Near Bashyam Circle  
Sadashivanagar  
Bangalore- 560 080  
[vijaykt@vjkt.in](mailto:vijaykt@vjkt.in)  
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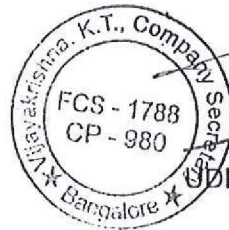
### CERTIFICATE

#### IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

At the request of Bal Pharma Limited, Bengaluru, I have examined the relevant records, registers relating to transfers as maintained and made available by TSR Darashaw Consultants Private Limited, Mumbai, for the year from 01.04.2022 to 31.03.2023 for the purpose of rendering certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of such examination and on the basis of information/records provided to me and to the best of my knowledge, I certify that there were no physical transfers, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the reporting period.

Date: 11.04.2023  
Place: Bangalore



*[Handwritten Signature]*  
VIJAYAKRISHNA K T  
FCS: 1788 CP: 980  
UDIN: F001788E000064345