

Secretarial Department

SD/ F 24/ /2019- 20

29<sup>th</sup> October 2019

|   |   |
|---|---|
| <b>The Manager</b><br>The National Stock Exchange of India Limited<br>Exchange Plaza,<br>Bandra-Kurla Complex,<br>Bandra (E),<br><u>Mumbai – 400 051.</u> | <b>The Manager</b><br>Department of Corporate Services<br>BSE Limited<br>Phiroze Jeejeebhoy Towers,<br>Floor 25, Dalal Street,<br><u>MUMBAI – 400 001</u> |
|---|---|

Re.: Scrip Symbol: FEDERALBNK/Scrip Code: 500469

Dear Sir,

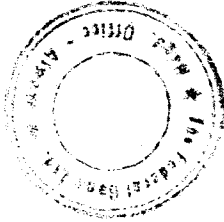
**Sub: Compliance under Regulation 40(10) of the SEBI LODR, 2015**

As required under Regulation 40(10) of SEBI LODR, 2015, we enclose compliance certificate for the period 01.04.2019 to 30.09.2019 issued by CS Sivakumar P, Company Secretary in practice, Managing Partner, M/s SEP & Associates.

Kindly take the same on record.

Thanking you,  
Yours faithfully,  
For The Federal Bank Limited

  
Girish Kumar G  
Company Secretary



Encl: As Above

Partners:

**CS Sivakumar P.**, M.Com, FCMA, FCS  
**CS Madhusudhanan E.P.**, M.com, FCMA, FCS  
**CS Anju Panicker**, BA, LLB

Reg.Office: 43/2695-A, Kariparambil Lane,  
SRM Road, Kaloor, Ernakulam 682018  
+91 9947262162, +91 8089359964

Ref. No.:SEP/SEBI/19-20/08

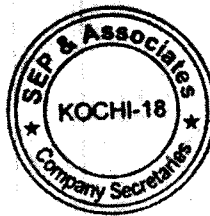
UDIN :F003050A000160647


**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS  
FOR THE HALF YEAR ENDED 30.09.2019**

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **THE FEDERAL BANK LTD.**, Federal Towers, P B No. 103, Alwaye, Ernakulam, Kerala- 683101, maintained by **Integrated Registry Management Services Private Limited**, 2nd Floor, Kences Towers, No 1 Ramakrishna Street, North Usman Road, T Nagar Chennai - 600 017 pertaining to transfer of equity shares of the company for the period from **01.04.2019 to 30.09.2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, and based on the information provided by the Company, hereby certify that the Company has delivered during the half-year ended on **30.09.2019**:

- A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from **01.04.2019 to 30.09.2019** as entered in the Memorandum of Transfers were issued within the prescribed time from the date of lodgment for transfer excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate certificates, transmission, name change, Certificate consolidation and split certificates have been issued within the requisite period. No requests for sub-division, renewal, exchange or endorsement of calls/allotment monies have been received.

Ernakulam  
24.10.2019



  
CS Sivakumar P  
M.No. 3050, CP. No. 2210  
Managing Partner  
SEP&Associates