



# SMIFS CAPITAL MARKETS LIMITED

Date: 01-06-2023

To  
The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai 400 001

**Sub: Intimation for loss of share certificate under Regulation 39(3) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Pursuant to Regulation 39(3) of the SEBI Listing Regulations, 2015 this is to inform you that the Company has received intimation on 01<sup>st</sup> June, 2023, from our Registrar and Share Transfer Agent Viz., M/S Maheshwari Datamatics Pvt. Ltd. for loss of shares/ issue of duplicate shares from the shareholders as per details given below:

Sl No.	Name of the shareholder(s)	No. of Shares	Folio No.	Share Certificate No.	Distinctive Nos.	
					From	To
1.	Anand Bhatt	300	800051	134512	257501	257800
		100		99000445	117001	117100

Pursuant to the SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25, 2022, a letter of Confirmation will be issued to the securities holder/ claimants upon completion of necessary procedures regarding duplicate share certificates.

This is for information and records.

Thanking You,  
Yours Faithfully,  
**For SMIFS Capital Markets Limited**

**Ayanti Sen**  
**Company Secretary cum Compliance Officer**



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**REQUEST FOR THE ISSUE OF DUPLICATE SHARE CERTIFICATE**

1 message

**maheshwari datamatics** <mdpldc@yahoo.com>  
Reply-To: maheshwari datamatics <mdpldc@yahoo.com>  
To: "cs.smifs@gmail.com" <cs.smifs@gmail.com>

Thu, Jun 1, 2023 at 10:44 AM

SIR / MADAM

Please find attached letter from share holder for loss of share certificate.

Detail as follows.

**UNIT: SMIFS CAPITAL MARKETS LTD**

Folio No. : 800051  
Name : ANAND BHATT  
Cert No. : 134512 & 99000445  
Dist No. : (257501-257800) & (117001-117100)  
No Of Shares : 300 + 100 = 400

Please arrange to upload the data in portal of CSE & BSE as the information is required to be provided to them within 2 days from date of receipt of request as per SEBI guidelines.

Kindly confirm.

SANDIPAN

Maheshwari Datamatics Pvt. Ltd.  
Registrar & Share Transfer Agent  
23 R.N.Mukherjee Road 5th Floor, Kolkata - 700001  
Contact : 033-22482248, 2243-5029

*Certified True Copy*

For SMIFS CAPITAL MARKETS LIMITED

*Ayanti Sen*  
(AYANTI SEN)  
COMPANY SECRETARY  
CUM COMPLIANCE OFFICER

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 **SCML\_800051.pdf**  
180K

Fw: Issue of Duplicate Equity shares Certificates of SMIFS Capital Markets Ltd. Folio No.800051

From: Anand Bhatt (anand.bhatt70@yahoo.com)

To: mdpldc@yahoo.com

Date: Tuesday, May 30, 2023 at 12:27 PM GMT+5:30

DUP

Dear Sir,

This is to inform you that I am holding the equity Shares under the above mentioned Folio No. 800051 for a long period.

At Present trace from my record the above Physical Stock was not found in my custody.

Hence you are requested to send the paper towards issuing the duplicate shares against the same.

Thanking you.

Yours faithfully,

**Anand Bhatt**

L/1101, Bhoomi Breeze

Raheja Estate,

Kulup wadi road,Near National Park,

Borivali (East)

Mumbai- 400066.

Email : [anand.bhatt70@yahoo.com](mailto:anand.bhatt70@yahoo.com)

Mob: 98200 89370

Encl: PAN CARD/AADHAR card

Copy of your letter Dtd.06/05/2023 SL No. 14

Copy of Dividend Received on 26/09/2018 for the year 2017-18

*Certified True Copy*

For SMIFS CAPITAL MARKETS LIMITED

*Ayanti Sen*

(AYANTI SEN)

COMPANY SECRETARY

CUM COMPLIANCE OFFICER

 ANAND BHATT PAN & AADHAAR CARD COPY.pdf  
222.7kB

 ANAND BHATT - SMIFS CAPITAL MARKETS LTD..pdf  
599.3kB