

SRESTHA FINVEST LIMITED

Date: 06th October 2020

To
The Manager
Listing Department

1. Bombay Stock Exchange Ltd, Mumbai
2. Metropolitan Stock Exchange of India Ltd, Mumbai.

Dear Sir,

Sub: Submission of Compliances for the Quarter ended 30/09/2020

With reference to the above subject we are herewith submitting the compliances pertaining to quarter ended 30/09/2020 are as follows:

1. Quarterly Compliance Report on Corporate Governance for the quarter ended 30th September 2020.
2. Disclosure under 13(3) of SEBI Regulations, Investor Complaints for the quarter ended 30th September 2020.
3. Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended 30th September 2020.
4. Compliance Certificate Certifying Maintaining Physical & Electronic Transfer Facility under Regulation 7 (3) of SEBI (LODR) Regulations, 2015.
5. Reconciliation of Share Capital Audit for the quarter ended 30th September, 2020

This is for your information and records.

Yours faithfully,
For Srestha Finvest Limited


A. Anandha Kumar Bafna
Company Secretary and Compliance Officer



Encl: as above

Registered Office :
No.35/1, Muthu Krishnan Street,
Kondithope, Chennai - 600079

Phone No. 044-25206006
E mail : srestha.info@gmail.com
CIN : L65993TN1985PLC012047

SRESTHA FINVEST LIMITED

ISIN: INE606K01023

Scrip Code: 539217

Compliance Certificate

[Pursuant to Regulation 7(3) of SEBI (LODR) Regulations, 2015]

We being the Authorised Representatives of the Share Transfer Agents do hereby certify that the M/s. Srestha Finvest Limited having its Registered Office at No.35/1, Muthu Krishnan Street, Kondithope, Chennai-600079 has appointed M/s. Purva Sharegistry (India) Private Limited as its Share Transfer Agents (STA) having its Registered Office/Place of activities at Shiv Shakti Ind. Estt. J .R. Boricha marg , Opp. Kasturba Hospital Lane Lower Parel (E) Mumbai 400 011 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001112

Further, M/s. Purva Sharegistry (India) Private Limited as a STA has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LODR) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of M/s. Srestha Finvest Limited during the period from 1st April 2020 to 30th September 2020 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of M/s. Srestha Finvest Limited

For Srestha Finvest Ltd.



A. Jithendra Kumar Bafna
Company Secretary and Compliance Officer

For Purva Sharegistry (India) Pvt. Ltd.



Compliance Officer/Authorised Signatory

Place: Chennai

Date: 06/10/2020

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