



Ref: STEX/SECT/2019
October 22, 2019

The Relationship Manager BSE Limited P. J. Towers Dalal Street, Fort Mumbai 400001 BSE Scrip Code: 500480	National Stock Exchange of India Limited Exchange Plaza, 5 th Floor Plot No. C/1, G Block, Bandra – Kurla Complex Bandra (East) Mumbai 400051 NSE Symbol: CUMMINSIND
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**Subject: Compliance Certificate – Regulation 40(9) of SEBI
(Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sirs,

As per the requirement of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing a Compliance Certificate for the half year ended on September 30, 2019, obtained from SVD & Associates, Practicing Company Secretaries, Pune.

Thanking you,

Yours truly,
For Cummins India Limited

Hemiksha Bhojwani
Company Secretary & Compliance Officer
Membership No.: A22170

Encl: As above
(This letter is digitally signed)

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015
FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER 2019

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Cummins India Limited ("the Company")** having its registered office at Cummins India Office Campus, Tower A, 5th Floor, Survey No. 21, Balewadi, Pune-411 045 maintained by **M/s Link Intime India Private Limited**, Registrar and Transfer Agents ("RTA"), having its registered office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai- 400 083 pertaining to issue of equity share certificates of the Company for the period from **01st April 2019 to 30th September 2019** as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations 2015, by the Company and based on the information provided by the Company and their RTA, we hereby certify that the Company has delivered the Share certificates during the half year ended on 30th September 2019:

- a) relating to transfer deeds lodged prior to 01st April 2019 and re-lodged thereafter, received during the period from 01st April 2019 to 30th September 2019, within 30 days from the respective date of lodgement of each form excepting those rejected on technical grounds in pursuant with the press release dated 27th March 2019 issued by Securities and Exchange Board of India;
- b) relating to deletion of the name of the deceased, transmission of securities , transposition of names wherever applicable, received during the period from 01st April 2019 to 30th September 2019 as entered in the Memorandum of Transfers within 30 days from the respective date of lodgement of each form except those rejected on technical grounds or those where seller Notices have been sent;
- c) Relating to requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, wherever applicable within 30 days of lodgement.

For SVD & Associates



Sridhar Mudaliar
Partner

FCS No 6156

C. P. No. 2664

Date: 18th October, 2019

Place: Pune

UDIN: F006156A000111536

