



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set, not just met

CIN : L67190TN1994PLC029151

24.10.2020

To
The General Manager – DCS
Listing Operations – Corporate Service Dept.
BSE Ltd.

Scrip Code: 530907

Dear Sir/ Madam,

Sub: Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

We hereby submit the Certificate in terms of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 obtained from Ms. Liya Antony, the Company Secretary in Practice, for the Half Year ended September 30, 2020.

Kindly take the same on record.

Thank You

For S.I. Capital & Financial Services Limited

ANEETTA C VILSON
Company Secretary & Compliance Officer

Encl: As above



LIYA AND ASSOCIATES

COMPANY SECRETARY IN PRACTICE

Phone: 96564 40562 | 91420 96033 | Email: liyaandassociates@gmail.com

CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REGULATIONS) REQUIREMENTS 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER 2020

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to S.I.Capital and Financial Services Limited maintained by the Share Transfer Agent M/s S.K.D.C. Consultants Limited pertaining to transfer of equity shares of the company for the period from 01st July 2020 to 30th September 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, **S.I.Capital and Financial Services Limited** and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on 30th September 2020:

A) All the Share Certificates relating to the Share Transfer forms received during the period from 01st July 2020 to 30th September 2020 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form except those rejected on technical grounds.

B) has not received any requests for subdivision, consolidation, renewal, exchange of duplicate /split certificates or endorsement of calls/ allotment monies.

For LIYA AND ASSOCIATES

LIYA ANTONY
Company Secretary In Practice
Membership No: 39611, CP No: 19314
No: 101, 1st Floor, K George Technology Arcade
K C Joseph Road, Panampilly Nagar
Ernakulam, Kerala - 682 036

Place: Ernakulam
Date: 21.10.2020

Liya Antony
Practicing Company Secretary
Mem No : 39611
CP No : 19314

UDIN: A039611B001022439

No.101, First Floor, Santhi K George Technology Arcade
K C Joseph Road, Panampilly Nagar, Ernakulam - 682036, Kerala
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