



**TRC Financial Services Limited**

CIN : L74899MH1994PLC216417

April 29, 2021

To,  
Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir / Madam,

SUB: Submission of certificate from Practicing Company Secretary under Regulation 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended March 31, 2021

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed herewith Certificate issued by Practicing Company Secretary for the period starting from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

Kindly take the above on your record.

Thanking You,  
Yours faithfully  
For TRC Financial Services Limited

*Charmi*

**Charmi Gindra**  
**Company Secretary & Compliance Officer**  
**Membership No. : A46913**

Regd. Office" 3<sup>rd</sup> Floor, Bandra Hill View CHS, 85, Hill Road, Opp. Yoko Sizzlers, Bandra (West), Mumbai -400 050.  
Ph.: 26414725 Email: trcfsLtd@gmail.com Website: www.trcfin.in

# **Priti J Sheth & Associates**

## **Company Secretaries**

**CERTIFICATE UNDER REGULATION 40 (9) of SEBI (LODR) REGULATIONS, 2015 FOR**  
**THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2021**

I have examined all Registers, files and other documents relating to **TRC Financial Services Limited, bearing (CIN: L74899MH1994PLC216417)** (the Company) maintained by **M/s. Purva Sharegistry (India) Private Limited**, pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that during half year ended **31<sup>st</sup> March, 2021**:

A) The Company has received one request relating to transfer of shares and the same has been issued within 30 days from the date of lodgement. Further, the Company has not received any request for transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.

1) Any other information: Nil

Date: April 28, 2021  
Place: Mumbai

PRITI JAYANT  
SHETH

Digitally signed by  
PRITI JAYANT SHETH  
Date: 2021.04.28  
17:57:44 +05'30'

Name: Priti Sheth  
**For Priti J. Sheth & Associates**  
Company Secretaries  
C.P. No.: 5518  
FCS No.: 6833  
UDIN: F006833C000194746