

KEYNOTE



Ref # Key21/Stock Exchange Let/Sm (17)

29th April, 2021

The Manager
BSE Limited,
Listing Department,
PhirozeJeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

The Manager
National Stock Exchange of India Ltd.
Listing Department, Exchange Plaza,
C-1, Block- G, BandraKurla Complex,
Bandra (East),
Mumbai - 400 051

Scrip Code/ Name of Scrip: 512597/KEYFINSER

Name of Scrip: KEYFINSERV

Dear Sir,

Reg: Submission of Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned matter, please find enclosed herewith Compliance Certificate for the period 1st October, 2020 to 31st March, 2021 issued by M/s. Uma Lodha & Co., Company Secretaries, Mumbai, as required in terms of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

You are requested to take the same on record.

Thanking you and assuring you of our best co-operation at all times.

Yours sincerely,
For **Keynote Financial Services Limited**

Sujeet More
Company Secretary
Contact No. 022 6826 6071

Encl: as above

Keynote Financial Services Limited

(Formerly known as Keynote Corporate Services Limited)

The Ruby, 9th Floor, SenapatiBapatMarg, Dadar (West), Mumbai 400028

Tel : 91 22 6826 6000 Fax : 91 22 6826 6088 Email : info@keynoteindia.net Website : www.keynoteindia.net

CIN – L67120MH1993PLC072407

UMA LODHA & CO.

COMPANY SECRETARIES

Suite No. 507, 5th Floor, Highway Commercial Centre,
I.B Patel Road, Goregaon East, Mumbai - 400063
Tel: 91-22-40131001/02 Mob: +91-9821247172
Email: uma@umalodha.com | info@umalodha.com
Website: www.umalodha.com

**CERTIFICATE UNDER REGULATION 40(9) UNDER SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS), REGULATIONS 2015 FOR HALF YEAR ENDED
MARCH 31, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Keynote Financial Services Limited** (hereinafter referred to as "the Company") (CIN: L67120MH1993PLC072407) having registered office at The Ruby, 9th floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400028 maintained by Link Intime India Private Limited, the Registrar and Share Transfer Agents of the Company for the purpose of issuing Certificate as per Regulation 40(9) under SEBI (Listing Obligation and Disclosure Requirements), Regulation 2015 for the period from October 1, 2020 to March 31, 2021.

Based on the information provided by Link Intime India Private Limited, I hereby certify that:

1. The company has delivered all the Share Certificate(s) relating to the transfer of Shares received during the period from October 1, 2020 to March 31, 2021 as entered in the Memorandum of Transfers within thirty days of the date of lodgment for transfer excepting those rejected on technical grounds.
2. During the afore mentioned period, there were no cases lodged for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

Place: Mumbai
Date: 27-04-2021

For Uma Lodha & Co.
Practicing Company Secretaries
Uma Nipun Lodha
Lodha
Digitally signed by Uma
Nipun Lodha
Date: 2021.04.27
10:35:15 +05'30'
UMA LODHA
Proprietor
C.P. No. 2593
UDIN No. F005363C000185455