



Ref: Syn/CS/PIT/SE/NOV 2020/01

Syngene International Limited

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Karnataka, India
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CIN: L85110KA1993PLC01493
www.syngeneintl.com

November 27, 2020

To, The Manager, BSE Limited Corporate Relationship Department Dalal Street, Mumbai – 400 001	To, The Manager, National Stock Exchange of India Limited Corporate Communication Department Bandra (EAST), Mumbai – 400 051
Scrip Code: 539268	Scrip Symbol: SYNGENE

Dear Sir/Madam,

Subject: Reporting under SEBI (Prevention of Insider Trading) Regulations, 2015
Re: Intimation of Violation under Insider Trading Code by a Designated Employee

In terms of SEBI circular SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020, please find attached as Annexure A, the report by the Company for violation on Code of Conduct for Prevention of Insider Trading under SEBI (Prevention of Insider Trading) Regulations, 2015.

We would like to submit that the reported deviation is not material in relation to the total paid up equity share capital of the Company.

You are requested to take this intimation on record.

Thanking you.

Yours faithfully,

For **SYNGENE INTERNATIONAL LIMITED**

Priyadarshini Mahapatra
Company Secretary & Compliance Officer

Encl: As above

Annexure A

Report format by Syngene International Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/ Fiduciary	Syngene International Limited
2	Please tick appropriate checkbox Reporting in capacity of: <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	<p>A. Name of the Designated Person (DP)</p> <p>a. Name of the DP ii. PAN of the DP iii. Designation of DP iv. Functional Role of DP v. Whether DP is Promoter or belongs to Promoter Group</p> <p>B. If Reporting is for immediate relative of DP</p> <p>i. Name of the immediate relative of DP ii. PAN of the immediate relative of DP</p> <p>C. Details of transaction(s)</p> <p>i. Name of the scrip ii. No of shares traded and value (Rs.) (Date-wise)</p> <p>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</p> <p>i. Date of intimation of trade(s) by concerned DP/ director/ promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015 ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015</p>	<p>Rahul Goyal BHOPG1714P Deputy Manager Finance and Accounts No</p> <p>NA</p> <p>Syngene International Limited 50 shares purchased on October 23, 2020 @Rs. 535/- per share (Rs. 26,750/- in total) 50 shares sold on October 26, 2020 Rs. 552.5/- per share (Rs. 27, 625/- in total) Not Applicable</p>
4	Details of violations observed under Code of Conduct	The Designated Person had purchased 50 shares on Friday, October 23, 2020, from the market. This was the last day of trading window closure for disclosure of financial results, which was disclosed on October 21, 2020. On realization of his mistake, the designated person sold the 50 shares on

Syngene

		Monday, October 26, 2020. The employee also reported his mistake to the Company. The above trading was in violation of the Syngene Insider Trading Code.												
5	Action taken by Listed Company/ Intermediary/ Fiduciary	<ul style="list-style-type: none"> Warning notice issued and the designated person was directed to attend a training on the Syngene Code of Prevention of Insider Trading Levied a penalty of Rs. 1,000/- to be paid in favour of SEBI- IPEF. 												
6	Reasons recorded in writing for taking action stated above	This being the first instance of violation by the Employee, and considering the facts of the case and the explanation given by the Employee, the above actions were taken.												
7	Details of the previous instances of violations, if any, since last financial year	None												
8	<p>If any amount collected for Code of Conduct violation(s)</p> <p>i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)</p> <p>ii. Details of transfer/payment</p> <p>In case of Online:</p>	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Rahul Goyal</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>HDFC bank, Electronic city branch, A/c #: 50100313436250</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>032314516948</td> </tr> <tr> <td>Transaction date</td> <td>November 18, 2020</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>Rs. 1,000/-</td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Rahul Goyal	Bank Name, branch and Account number	HDFC bank, Electronic city branch, A/c #: 50100313436250	UTR/Transaction reference Number	032314516948	Transaction date	November 18, 2020	Transaction Amount (in Rs.)	Rs. 1,000/-
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8	Any other relevant information	None												

Yours faithfully,

For Syngene International Limited

Priyadarshini Mahapatra
Company Secretary & Compliance Officer
PAN: AJJPM0077B
Email Id: Priyadarshini.Mahapatra@syngeneintl.com
Mobile Number: +91 96995 53081

Date: November 27, 2020
Place: Bengaluru