



Ref: STEX/SECT/2021
April 13, 2021

The Relationship Manager BSE Limited P. J. Towers Dalal Street, Fort Mumbai 400001 BSE Scrip Code: 500480	National Stock Exchange of India Limited Exchange Plaza, 5 th Floor Plot No. C/1, G Block, Bandra – Kurla Complex Bandra (East) Mumbai 400051 NSE Symbol: CUMMINSIND
--	---

Subject: Compliance Certificate – Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir,

As per the requirement of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing a Compliance Certificate for the half year ended on March 31, 2021 obtained from SVD & Associates, Practicing Company Secretaries, Pune.

Thanking you,

Yours truly,
For Cummins India Limited

Vinaya A. Joshi
Company Secretary & Compliance Officer

Encl: As above
(This letter is digitally signed)

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015
FOR THE HALF YEAR ENDED ON 31ST MARCH, 2021

We have verified the documents and statements relating to equity shares of **Cummins India Limited** (“the Company”) having its registered office at Cummins India Office Campus, Tower A, 5th Floor, Survey No. 21, Balewadi, Pune-411045, Maharashtra, maintained by **Link Intime India Private Limited**, Registrar and Transfer Agents (“RTA”), having its registered office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai- 400 083 and branch office at 202, A wing, 2nd Floor, Akshay Complex, off Dhole Patil Road, near Ganesh Mandir, Pune- 411001, sent to us on email, for the period from 01st October, 2020 to 31st March, 2021 for the purpose to issue certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015(‘SEBI LODR’).

Pursuant to the amended sub-regulation 1 of Regulation 40 of the SEBI (LODR) it is mandatory that the transfer of securities shall be carried out in dematerialized form only w.e.f. April 01, 2019. However, SEBI vide press release dated March 27, 2019, vide circular no. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated September 7, 2020 and circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236 dated December 02, 2020 provided operational guidelines for transfer and dematerialisation of re-lodged physical shares (herein refer as “the operational guidelines”).

We hereby certify that during the half year ended on March 31, 2021 the Company has:

- a) Received re-lodged request for transfer of shares and the same were executed according to the operational guidelines issued by SEBI in this regard;

- b) Delivered the share certificates with respect to requests for deletion of the name of the deceased, transmission of securities, transposition of names wherever applicable received during this period within 30 days from the respective date of lodgement of each form except those rejected on technical grounds or those where seller notices have been sent;

- c) Delivered the share certificates with respect to requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, wherever applicable within 30 days of lodgement or event date except those rejected on technical grounds.

Note: We could not verify the data physically for this certificate as an effect of the order of the state government and local bodies to observe social distancing so as to avoid the spread of the pandemic of Covid -19.

For SVD & Associates
Company Secretaries

Sheetal S. Joshi
Partner
FCS No: 10480
C.P. No: 11635

Place: Pune
Date: 13.04.2021

UDIN : F010480C000070496