



DCM SHRIRAM

BSE Limited Phiroze JeeJeeBhoy Towers, Dalal Street, <u>Mumbai – 400 001</u>	National Stock Exchange of India Ltd., “Exchange Plaza”, 5 th Floor, Plot No. C-1, G Block, Bandra-Kurla Complex, Bandra (E) <u>Mumbai: 400 051</u>
SCRIP CODE: 523367	SCRIP CODE: DCM SHRIRAM

Kind Attn. : Department of Corporate Communications/ Head Listing Department

Sub : Certificate Under Regulation 40(9)

Dear Sirs,

Pursuant to the SEBI (Listing Obligations and Disclosure Requirement) Regulation, 2015, we enclose herewith a copy of the certificate dated April 16, 2024 for the year ended March 31, 2024 from a Practicing Company Secretary.

Please arrange to take the above information on your records.

Yours faithfully,

Sameet Gambhir
Company Secretary & Compliance Officer

Dated : April 16, 2024

Encl: As above

DCM SHRIRAM LTD.

Registered and Corporate Office: 2nd Floor (West Wing), Worldmark 1, Aerocity, New Delhi - 110037, India
Tel: +91 11 42100200 e-mail: response@dcmshriram.com website: www.dcmshriram.com
CIN No. L74899DL1989PLC034923

ROHIT PARMAR & ASSOCIATES

COMPANY SECRETARIES



Building No. 72, 3rd Floor, Gupta Complex, Patparganj Road,
Pandav Nagar, New Delhi-110092.
e-mail : csrohitparmar@gmail.com | Mob.: +91 9760855191, 9718778579

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 03rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to equity shares of DCM Shriram Limited ('the Company') having its registered office at 2nd Floor, (West Wing) Worldmark 1, Aerocity, New Delhi-110037, maintained and provided electronically by MCS Share Transfer Agent Limited, Registrar and Share Transfer Agents ('RTA'), pertaining to the financial year ended 31st March, 2024 ('Audit Period') for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, I hereby certify that:

- A. There was no request received and processed for share transfer and Transposition during the Audit Period;
- B. The Company has delivered during the audit period, letters of confirmation as per SEBI's operational guidelines for execution of transmission of securities, change in name, deletion of name and split of shares within prescribed time from the respective date of lodgement.
- C. As informed to me, there were no requests relating to renewal, exchange or endorsement of calls/allotment monies, consolidation of securities certificates/folios received during the said period.

For Rohit Parmar and Associates
Company Secretaries
Unique Code No.: S2021DE820800

Rohit
Parmar

Digitally signed by
Rohit Parmar
Date: 2024.04.16
08:49:42 +05'30'

Rohit Parmar

ACS No.: A54442; COP No. 22137

Peer Review no.: 2122/2022

UDIN: A054442F000132311

Date: April 16, 2024
Place: New Delhi