REGENT ENTERPRISES LIMITED

Regd. Office:-E-205 (LGF), Greater Kailash II, New Delhi-110048 Telephone no. 011-24338696, Email: <u>stradersltd@gmail.com</u> Website: <u>www.regententerprises.in</u>

CIN-L15500DL1994PLC153183

October 23, 2019

To, DEPARTMENT OF CORPORATE SERVICES BSE Limited P. J. Towers, Dalal Street, Mumbai- 400001

Dear Sir/ Madam,

SUB: <u>SUBMISSION OF CERTIFICATE UNDER REGULATION 40 (9) OF SEBI</u> (LISTING OBLIGATIONS & DISCLOSURE REQUIREMNETS) REGULATIONS, 2015, FOR THE HALF YEAR ENDED ON SEPTEMBER <u>30TH 2019</u>

With reference to the above, please find enclosed herewith a Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirement) Regulations, 2015, from Practicing Company Secretary, Mr. Vijay Sharma of **M/s.** Sharma Vijay & Associates for half year ended on September 30, 2019.

You are requested to please take note of the same on your record.

Thanking You,

Yours Faithfully For REGENT ENTERPRISES LIMITED



VIKAS KUMAR DIRECTOR (DIN: 05308192)

SHARMA VIJAY & ASSOCIATES (COMPANY SECRETARIES) E-mail:cssharmavijay@gmail.com, M. No. +91-9971250730 B-6, LANE NO. 2, NEAR SAKET METRO STATION, SAIDULLAJAB-110030

CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATION, 2015

FOR THE HALF YEAR ENDED ON SEPTEMBER 30, 2019

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **REGENT ENTERPRISES LIMITED** maintained by **RCMC Share Registry Private Limited**, Registrar and Share Transfer Agent(hereinafter referred to as "RTA") pertaining to transfer, sub- Division, Consolidation, renewal, exchange or endorsement of calls/allotment monies of equity shares of the company for the period from **April 1**, **2019**, **to September 30**, **2019** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company and RTA, I hereby certify that the Company has during half year ended on <u>September 30, 2019</u>:

- 1. Effected transfer of shares and issued share certificate(s) within 15 days from the respective date of lodgment for transfer except cases rejected on technical grounds
- 2. Issued Share Certificate(s) within 30 days from the respective dates of lodgment for sub-division, consolidation, renewal, exchange and deletion of name except cases rejected on technical grounds

For Sharma Vijay& Associates

PCS Vijây Sharma (Proprietor) C.P. No. 19035, ACS: 45793 UDIN: A045793A000150161

Date: October 23, 2019 Place: New Delhi