

CMSINFO/2204/009

April 18, 2022

To,

**BSE Limited**

Listing Department,  
1<sup>st</sup> Floor, PJ Towers, Dalal Street,  
Fort, Mumbai – 400 001

**National Stock Exchange of India Limited**

Exchange Plaza, C-1, Block-G,  
Bandra Kurla Complex, Bandra (East),  
Mumbai – 400 051

**Scrip Code: 543441**

**Symbol: CMSINFO**

**Subject: Submission of Compliance Certificate pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2022**

Dear Sir/Madam,

Pursuant to Regulation 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”), please find enclosed herewith, the Compliance Certificate from M Siroya and Company, Practicing Company Secretary, certifying compliance under Regulation 40(9) of Listing Regulations for the year ended March 31, 2022.

Please take the above on record and oblige.

Thanking you.

Yours faithfully,

For **CMS Info Systems Limited**



**CS Praveen Soni**

**Company Secretary & Compliance Officer**

(Membership No. FCS 6495)

End: as above

**M Siroya and Company**  
**Company Secretaries**

A-103, Samved Building (Madhukunj), Near EktaBhoomi Gardens, Rajendra Nagar, Borivali (E), Mumbai 400066  
Tel.:+91 22 28706523/24; 28546523(D); Cel.:+91 9324310151; E-mail: [siroyam@gmail.com](mailto:siroyam@gmail.com); [www.msiroya.com](http://www.msiroya.com)

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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE FINANCIAL PERIOD ENDED 31<sup>ST</sup> MARCH, 2022**

I, Mukesh Siroya, holding Certificate of Practice No. 4157, have, in my capacity as Secretary in Whole-time Practice, examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **CMS Info Systems Limited** ("Company") (**BSE Scrip Code – 543441, NSE Code–CMSINFO Series - EQ**) maintained by **Link Intime India Pvt. Ltd.** pertaining to transfer of equity shares of the Company for the period from **31<sup>st</sup> December, 2021 (Date of Listing)** to **31<sup>st</sup> March, 2022** for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Regulations") and based on the information provided by the Company, I hereby certify that during financial period ended on **31<sup>st</sup> March, 2022:**

- A) (i) Share Certificate(s) relating to the transfer of Shares received as entered in the Memorandum of Transfers have been issued, pursuant to Regulation 40(3) of the Regulations within fifteen days of the date of lodgment for transfer, except those rejected on technical grounds - **There was no transfer request(s) received during the period;** and
- (ii) Share Certificate(s) have been issued, pursuant to the Regulations, within thirty days of the date of lodgment for sub-division, consolidation, renewal, exchange, or endorsement of calls/ allotment monies from respective date of lodgment, except those rejected on technical grounds - **There was no such request(s) received during the period.**
- B) Any other information, if applicable. – None.

**For M Siroya and Company**  
**Company Secretaries**

  
**Mukesh Siroya**  
**Proprietor**

**CP No.: 4157**

**Membership No.: FCS 5682**

**UDIN: F005682D000151927**

Dated: April 18, 2022

Place: Mumbai