

**DEEPA GUPTA**  
**Company Secretary**

Address:-512, Bawla Bldg. D-1, Muktai CHS, D. L. Marg, Chinchpokli, Mumbai 400 012.  
Mob: 09322697460 Email: deepaguptacs@gmail.com

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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR)**  
**REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Empire Industries Limited** maintained by M/s. Bigshare Services Pvt Ltd, having its office at Bharat Tin Works Building 1st Floor Opp. Vasant Oasis, Next to Keys Hotel Marol Maroshi Road, Andheri East, Mumbai 400059, the Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October 2019 to 31<sup>st</sup> March 2020** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company we hereby certify that:

- A) Share Certificates relating to the transfer of Shares received during the period from **1<sup>st</sup> October 2019 to 31<sup>st</sup> March 2020** as entered in the Memorandum of Transfers have been issued within one month of the respective date of lodgement for transfer except those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgement except in some cases as per the details given in the annexure.



Date: 26<sup>th</sup> May, 2020  
Place: Mumbai

UDIN Number A020860B000282286

A handwritten signature in black ink, appearing to be "Deepa Gupta".

**Deepa Gupta**  
**Company Secretary**  
M. No. 20860  
C.P. No. 8168

BIGSHARE SERVICES PVT LTD.

UNIT : EMPIRE INDUSTRIES LTD

Clause 47(C) List

Date 18/05/2020

| Sr. No        | Doc. No. | Doc. Date  | Inw. Date  | Folio No. | Name                 | Shares       | Desp. Date | Days                                 | Remark   |
|---------------|----------|------------|------------|-----------|----------------------|--------------|------------|--------------------------------------|--|
| 1             | DD638    | 12-10-2019 | 28/11/2019 | K000150   | KANTILAL VIJLAL SHAH | 75           | 22/01/2020 | Dispatch marking done dt. 22/01/2020 | Duplicate Share Certificate received after signature from EMPIRE INDUSTRIES LIMITED ON 21/01/2020, Same given from Name Deletion procedure on 22/01/2020. This delay is from Company's side. |
| 2             | DD639    | 12-10-2019 | 30/11/2019 | S001080   | SUVARNA PRAKASH SHA  | 100          | 23/01/2020 |                                      | Duplicate Share Certificate received after signature from EMPIRE INDUSTRIES LIMITED ON 21/01/2020. This delay is from Company's side.  |
| 3             | DD640    | 27/12/2019 | 12-12-2019 | K000223   | KHEM RAJ TODI        | 18           | 23/01/2020 |                                      | Duplicate Share Certificate received after signature from EMPIRE INDUSTRIES LIMITED ON 21/01/2020. This delay is from Company's side.  |
| 4             | DD641    | 30/12/2019 | 12-10-2019 | S000061   | SAM NARIMAN POLISHM  | 150          | 22/01/2020 | Dispatch marking done dt. 22/01/2020 | Duplicate Share Certificate received after signature from EMPIRE INDUSTRIES LIMITED ON 21/01/2020, Same given from Name Deletion procedure on 22/01/2020. This delay is from Company's side. |
| 5             | DD642    | 30/12/2019 | 16/12/2019 | A000655   | AMY JEHANGIR SHROFF  | 555          | 22/01/2020 | Dispatch marking done dt. 22/01/2020 | Duplicate Share Certificate received after signature from EMPIRE INDUSTRIES LIMITED ON 21/01/2020, Same given from Name Deletion procedure on 22/01/2020. This delay is from Company's side. |
| 6             | DD643    | 01-10-2020 | 31/12/2019 | P000225   | PRAKASH SADASHIV GOF | 120          | 23/01/2020 |                                      | Duplicate Share Certificate received after signature from EMPIRE INDUSTRIES LIMITED ON 21/01/2020. This delay is from Company's side.  |
| Duplicate : 6 |          |            |            |           |                      | Shares Sum : | 1018       |                                      |  |