

DEPT.: SECRETARIAL

REF. No. : SEC/ST.EX.STT/30 /2024-25

DATE : April 25, 2024

National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot No.C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051. SCRIP CODE: SOUTHBANK	BSE Ltd. Department of Corporate Services (Listing), First Floor, New Trading Wing, Rotunda Building, P J Towers, Dalal Street, Fort,Mumbai – 400 001. SCRIP CODE: 532218
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Dear Sir/Madam,

**Sub: Submission of Certificate as per Regulation 40(9) and Regulation 61(4) of SEBI (LODR) Regulations, 2015 for the Financial Year ended 31.03.2024**

Please find enclosed the certificate dated April 25, 2024 issued by Mr. CS Puzhankara Sivakumar, Managing Partner of SEP & Associates, Company Secretaries, being the certificates of Compliance of Regulation 40(9), 40(10) and Regulation 61(4) of SEBI (LODR) Regulations, 2015, for the financial year ended 31.03.2024.

Yours faithfully,

(JIMMY MATHEW)  
COMPANY SECRETARY

Encl :a.a.

*Partners:*

**CS Puzhankara Sivakumar.** M.com, FCMA, FCS  
**CS Syam Kumar R.** BSc, FCS, LLB, IP  
**CS Madhusudhanan E.P.** M.com, FCS, FCMA, IP, RV, DIA (ICSI)

**CS Anju Panicker.** BA, LLB (Hons.), ACS

**CS Revathi K S.** BSc, ACS

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES EXCHANGE BOARD OF INDIA  
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE  
FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH 2024**

We have examined the relevant records, registers, files and all other documents of **M/s. The South Indian Bank Limited ("the Bank")**, bearing CIN: L65191KL1929PLC001017 and having its Registered office at **SIB House, T. B. Road, Mission Quarters, Thrissur 680001**, maintained by both the current Registrar and Transfer Agent, Link Intime India Pvt Ltd, C-101, 247 Park, L.B.S. Marg CIN : U67190MH1999PTC118368 Vikhroli (West), Mumbai - 400 083, and the previous Registrar and Transfer Agent, BTS Consultancy Services Private Limited, F1, VBN Flats, 1st floor, 17, Thirumurthy Street, T. Nagar, Chennai - 600 017 (hereinafter referred to collectively as "RTA") of the Bank for the period from **1<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 ("Listing Regulations"). Based on the information provided by the RTA on behalf of the Bank, we hereby certify that:

- A) As the transfer of shares held in physical form has been barred by SEBI, the Board of Directors/Stakeholders Relationship Committee of the Bank have not processed any Share Transfer requests and consequently there arose no necessity for entry in the Memorandum of Transfers and issue of Share Certificate(s) thereof during the said period.
- B) The Bank has delivered letters of confirmation as per SEBI Circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January 2022, for execution of transmission of securities, as well as for the request of duplicate certificate and sub-division (split), received during the financial year ended 31st March 2024, within the prescribed time from the respective date of lodgment.
- C) As informed to us, there were no request for transposition, consolidation, renewal, exchange and endorsement of calls / allotment monies have been received by the Bank during the said period.
- D) Any other information, if applicable: **NIL**

**For SEP & Associates**

Company Secretaries

(Peer Review Certificate No. 3693/2023)

PUZHANKARA Digitally signed by  
PUZHANKARA SIVAKUMAR  
SIVAKUMAR Date: 2024.04.25 17:11:25  
+05'30'

**CS Puzhankara Sivakumar**

Managing Partner

M No.: F3050 COP: 2210

Date: 25.04.2024

Place: Kochi

**UDIN: F003050F000242951**

HO Add: Building No. CC 31/1590, Felix Road, Thammanam, Cochin 682032. 0484 4873636/4874242

[www.sepassociates.in/info@seppmail.in](http://www.sepassociates.in/info@seppmail.in)

KOCHI | TRIVANDRUM | CHENNAI

*Partners:*

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**CS Syam Kumar R.** BSc, FCS, LLB, IP

**CS Madhusudhanan E.P.** M.com, FCS, FCMA, IP, RV, DIA (ICSI)

**CS Anju Panicker.** BA, LLB (Hons.), ACS

**CS Revathi K S.** BSc, ACS

**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31st MARCH, 2024.**

We have examined the relevant records, registers, files and all other documents of **M/s. The South Indian Bank Limited ("the Bank")**, bearing CIN: L65191KL1929PLC001017 and having its Registered office at **SIB House, T. B. Road, Mission Quarters, Thrissur 680001**, maintained by both the current Registrar and Transfer Agent, Link Intime India Pvt Ltd, C-101, 247 Park, L.B.S. Marg CIN : U67190MH1999PTC118368 Vikhroli (West), Mumbai - 400 083, and the previous Registrar and Transfer Agent, BTS Consultancy Services Private Limited, F1, VBN Flats, 1st floor, 17, Thirumurthy Street, T. Nagar, Chennai - 600 017 (hereinafter referred to collectively as "RTA") of the Bank for the period from **1st April, 2023 to 31st March, 2024** for the purpose of issuing a Certificate as per Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"). Based on the information provided by the RTA on behalf of the Bank, we hereby certify that all the Debentures issued by the Bank are in dematerialized form and no physical debenture certificates were required to be delivered for the year ended 31st March, 2024 pursuant to Regulation 61(4) read with Regulation 40(9) of the Listing Regulations.

**For SEP & Associates**

Company Secretaries

(Peer Review Certificate No. 3693/2023)

**UDIN: F003050F000242982**

PUZHANKARA Digitally signed by  
SIVAKUMAR PUZHANKARA SIVAKUMAR  
Date: 2024.04.25 17:11:53 +05'30'

**CS Puzhankara Sivakumar**

Managing Partner

M No.: F3050 COP: 2210

Date: 25.04.2024

Place: Kochi