

April 29, 2023

CS&G/STX/JQ2023/10

**1) National Stock Exchange of India Limited**

Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (E),  
Mumbai – 400 051

Scrip Symbol: KFINTECH

**2) BSE Limited**

Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001

Scrip Code: 543720

**Sub. : Certificate from a Practicing Company Secretary for the financial year ended March 31, 2023**

**Ref. : Regulation 40(9) & (10) of the LODR Regulations**

Dear Sir / Madam,

Pursuant to Regulation 40(9) & (10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“**LODR Regulations**”), please find enclosed herewith the Certificate from a Practicing Company Secretary for the financial year ended March 31, 2023.

This is for your information and records.

Thanking you,

Yours faithfully,

**For KFin Technologies Limited**

**Alpana Kundu**

**Company Secretary and Compliance Officer**

ICSI Membership No.: F10191

*Encl.: a/a*

**KFin Technologies Limited**

(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office:**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,  
Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

**CIN: L72400TG2017PLC117649**

[compliance.corp@kfintech.com](mailto:compliance.corp@kfintech.com)

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2023\*.**

We have examined the relevant files and other connected documents, if any, relating to **KFin Technologies Limited** ("the Company") having its registered office at Selenium, Tower B, Plot No - 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana - 500032, India, maintained and provided to us by Registrar and Transfer Agents (RTA), **M/s. Bigshare Services Private Limited** having its registered office at E-3 Ansa Industrial, Estatesaki, Vihar Road, Sakinaka, Mumbai, Maharashtra - 400072, India, pertaining to fully paid equity shares of the Company for the **Financial Year ended 31<sup>st</sup> March, 2023\*** ('reporting period') for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company/RTA, we hereby, certify that:

- A. There are no requests received for the transfer / transmission of securities issued by the Company for the reporting period.
- B. There were no instances of any sub-division, consolidation, renewal or exchange or endorsement of calls/allotment monies during the Financial Year ended 31<sup>st</sup> March, 2023\*.

*\*The Equity Share Capital of the Company was listed on the stock exchanges with effect from 29<sup>th</sup> December, 2022 and accordingly, this certificate is issued.*



For R&A Associates  
Company Secretaries

**R. Ramakrishna Gupta**  
Senior Partner

Membership No: 5523

C.P No: 6696

UDIN:F005523E000145223

**Date:** 19<sup>th</sup> April, 2023.

**Place:** Hyderabad.