



Registered Office :  
"Jayalakshmi Estates"  
29, Haddows Road,  
Chennai - 600 006, Tamilnadu, India.  
Telephone : +91-44-28272233  
Fax : +91-44-28257121

## Sundaram-Clayton Limited

16<sup>th</sup> October 2019

BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001.

National Stock Exchange of India Ltd.,  
Exchange Plaza, 5<sup>th</sup> Floor,  
Plot No. 1/C, "G" Block,  
Bandra-Kurla Complex,  
Bandra (E), Mumbai 400 051.

**Scrip code: 520056**

**Scrip code: SUNCLAYLTD**

Dear Sirs,

**Reg : Compliance under Regulation 40(9) & (11) of SEBI  
(Listing Obligations and Disclosure Requirements)  
Regulations, 2015**

In terms of Regulation 40(9) & (11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, Mr K Sriram, Partner, M/s S Krishnamurthy & Co., Practising Company Secretaries conducted an audit of the share transfers for the half year ended 30<sup>th</sup> September 2019.

We are enclosing the certificate issued by the Practising Company Secretary for your information and records.

Thanking you,

Yours faithfully,  
For SUNDARAM-CLAYTON LIMITED

  
R Raja Prakash  
Company Secretary

Encl : a/a



**S. Krishnamurthy & Co.,**  
**Company Secretaries**

**CERTIFICATE**

**(under Regulation 40(9) & (11) of the Securities and Exchange Board of India (Listing obligations and disclosure requirements) Regulations, 2015)**

We have examined all the relevant documents of **SUNDARAM-CLAYTON LIMITED**, produced before us by **in-house share transfer agency** ('the RTA'), pertaining to transfer, transmission, transposition, and issue of consolidated and split certificates, of shares held in physical form, during the half-year from **1<sup>st</sup> April 2019 to 30<sup>th</sup> September 2019**.

Based on such examination, the information and explanation furnished to us by the RTA, and to the best of our knowledge and belief, **we hereby certify** that, **during the half year ended 30<sup>th</sup> September 2019**, the RTA has,

1. In respect of requests for transfer of shares held in physical form received prior to 1<sup>st</sup> April 2019, and returned due to deficiency in the documents, and re-lodged on or after 1<sup>st</sup> April 2019 with proper documents, registered the transfers and despatched the share certificates with the transfers endorsed, within 30 days of re-lodgement for transfer.
2. Registered all the transmissions and transpositions for which proper documents were lodged, and despatched the share certificates with the transmission / transposition endorsed, within 30 days of lodgement.
3. Despatched share certificates within 30 days of lodgement, for all the consolidation and split certificate requests.

For **S. KRISHNAMURTHY & CO.,**

Company Secretaries

**K. SRIRAM**

Partner

**C.P. No.2215**

**UDIN: F006312A000098217**



Place: Chennai

Date: **16<sup>th</sup> October 2019**