

# SUMMIT SECURITIES LIMITED

Corporate Identification Number: L65921MH1997PLC194571

Registered Office: 213, Bezzola Complex, B Wing, 71, Sion-Trombay Road, Chembur, Mumbai - 400071

Tel Nos.: +91-22-46098668 / 69

Website : [www.summitsecurities.net](http://www.summitsecurities.net) Email : [investors@summitsecurities.net](mailto:investors@summitsecurities.net) ; [compliance@summitsecurities.net](mailto:compliance@summitsecurities.net)

April 17, 2024

**National Stock Exchange of India Limited**

Exchange Plaza, 5<sup>th</sup> Floor

Plot No C/1, G Block

Bandra Kurla Complex

Bandra East

Mumbai 400 051

**BSE Limited**

Phiroze Jeejeebhoy Towers

Dalal Street, Fort

Mumbai 400 001

**Re: Security Code: 533306, Security ID/Symbol: SUMMITSEC**

**Sub: Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Pursuant to Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a certificate issued by M/s Parikh & Associates, Company Secretaries for the financial year ended March 31, 2024

Kindly acknowledge receipt.

Thanking You,

Yours faithfully,  
For Summit Securities Limited

Jiya Gangwani  
Company Secretary and Compliance Officer  
Encl. As stated above.

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2024.**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019.

Further, SEBI vide gazette notification dated 24<sup>th</sup> January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated 25<sup>th</sup> January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to SUMMIT SECURITIES LIMITED ('the Company') maintained and provided electronically by LINK INTIME INDIA PRIVATE LIMITED (erstwhile known as TSR Consultants Private Limited which has been amalgamated with and into Link Intime India Private Limited with effect from December 22, 2023), Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby certify that:

A) 1.a. As informed to us, there were no requests relating to transfers received during the financial year ended 31<sup>st</sup> March, 2024.

1. b. The Company has sent during year ended on 31<sup>st</sup> March, 2024, letters of confirmation as per SEBI's operational guidelines, for execution of transmission of securities and deletion of name received during the financial year ended 31<sup>st</sup> March, 2024, within the prescribed time from the respective date of lodgement. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai  
Date: April 15, 2024

Signature:

**For Parikh & Associates**  
Company Secretaries

Pravinchandra  
Nahalchand  
Parikh

Digitally signed by Pravinchandra Nahalchand Parikh  
DN: c=IN, o=Personal, ou=5274,  
serialNumber=10E20FDF189115F83A668F9478  
8E208E2,  
2.5.4.20=022029473a4487c6d0ff0a3a3a70b0b16f  
5202031382656c9b460f8f84f1, postalCode=40004,  
st=Maharashtra,  
email=Pravinchandra.Nahalchand.Parikh@P  
ARIKH&ASSOCIATES.COM, o=Parikhchandra Nahalchand Parikh  
Date: 2024.04.15 14:54:08 +05'30'

P. N. Parikh  
FCS No: 327 CP No: 1228  
UDIN: F000327F000122988  
PR No.: 1129/2021