

Ref. No.: AUSFB/SEC/2023-24/394

Date: March 26, 2024

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
--	--

Dear Sir/Madam,

Sub: Disclosure under Regulation 30 read with clause 7 of Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“Listing Regulations”)

We wish to inform that on the recommendations of the Audit Committee and the Nomination and Remuneration Committee, the Board of Directors of the Bank at its meeting held today i.e. on March 26, 2024 has approved the re-appointment of Mr. Ashok Kumar Khandelwal, Chief Compliance Officer, for a further period of 3 years w.e.f. April 17, 2024 pursuant to RBI guidelines for ‘Compliance functions in banks and Role of Chief Compliance Officer’ dated September 11, 2020.

The above disclosure is made in compliance of the Listing Regulations read with SEBI circular SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023 and details are covered in the **Annexure**.

The above information is also made available on the website of the Bank www.aubank.in.

This is for your information and records.

Thanking You,
Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999
investorrelations@aubank.in
Encl: As above

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, Fax: +91 141 4110090

CIN: L36911RJ1996PLC011381

Annexure

Details required under Regulation 30 of the Listing Regulations read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-1/P/CIR/2023/123 dated July 13, 2023:

Sr. No.	Particulars	Details
1.	Name	Mr. Ashok Kumar Khandelwal
1.	Reason for change viz. appointment, re-appointment, resignation, removal, death or otherwise	Re-appointment of Mr. Ashok Kumar Khandelwal, Chief Compliance Officer of the Bank for a further period of 3 years w.e.f. April 17, 2024
2.	Date of Appointment/ re-appointment/ cessation (as applicable) & Terms of Appointment/ re-appointment	
3.	Brief Profile (in case of Appointment)	<p>Mr. Ashok Kumar Khandelwal is a qualified Chartered Accountant with IIBF certifications in Trade Finance, Customer Service, KYC and AML. He was appointed as Chief Compliance Officer of the Bank w.e.f. April 17, 2017 and re-appointed from time to time.</p> <p>He has vast experience in the fields of Compliance, Accounting, Audit, Operations and Customer Service. He brings along proven risk assessment skills, experience in conflict management, high in integrity, Eye to detail and excellent problem-solving skills. He carries and remains abreast with evolving dynamics of industry, regulations and the risk management.</p>
4.	Disclosure of relationship between Directors (in case of appointment of Directors)	Not Applicable

Registered Office

AU SMALL FINANCE BANK LIMITED

19-A Dhuleshwar Garden, Ajmer Road,
Jaipur - 302001, Rajasthan, India

Phone: +91 141 4110060/61, **Fax:** +91 141 4110090

CIN: L36911RJ1996PLC011381