



RALLIS INDIA LIMITED

April 19, 2023

BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai – 400 001
Scrip Code: 500355

National Stock Exchange of India Limited
Exchange Plaza
Bandra-Kurla Complex Bandra (E)
Mumbai – 400 051
Symbol: RALLIS

Dear Sir/Madam,

Sub: Compliance under Regulation 40 (9) and (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023

Pursuant to Regulation 40 (9) and (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed please find the letter dated April 19, 2023 received from M/s. TSR Consultants Private Limited, our Registrar and Share Transfer Agent along with the certificate dated April 19, 2023 issued by Ms. Sonali V. Bhuta, Practising Company Secretary for the period from April 1, 2022 to March 31, 2023.

This is for your information and records.

Thanking you,

**Yours faithfully,
For Rallis India Limited**

**Srikant Nair
Company Secretary**

Encl: As above

Date : 19th April,2023

To,
RALLIS INDIA LTD
MR. SRIKANT NAIR
23rd Floor, Vios Tower,
New Cuffe Parade,
Off Eastern Freeway,
Wadala,
Mumbai
400037

Dear Sir,

Please find enclosed herewith the report in respect of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015 in relation to the year ended 31st March,2023.

Also please note NIL exchange or endorsement of calls/ allotment monies requests received during the said period.

Thanking You,



Sumalini Kulal
Operations
skulal@tcplindia.co.in

TSR CONSULTANTS PRIVATE LIMITED

(Subsidiary of Link Intime India Private Limited)

C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West), Mumbai - 400083

CIN - U74999MH2018PTC307859 Tel.: +91 22 6656 8484 Fax : +91 22 6656 8494

E-mail : csq-unit@tcplindia.co.in Website : www.tcplindia.co.in

Business hours Monday to Friday 10.00 a.m. to 3.30 p.m.

**Certificate of Compliance Under Clause 40(9) Of
SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015**

(For the Period 01.04.2022 To 31.03.2023)

The Securities and Exchange Board of India (SEBI) vide gazette notification dated June 08, 2018 and vide its press release dated December 03, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated to transfer securities only in dematerialized form w.e.f. April 01, 2019. SEBI vide its press release dated March 27, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of April 01, 2019.

Further, SEBI vide its circular dated September 07, 2020 had fixed March 31, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated December 02, 2020.

SEBI vide gazette notification dated January 24, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly I have examined and verified all relevant books, registers, forms, and documents of Rallis India Limited (CIN L36992MH1948PLC014083) having its Registered Office at 23rd Floor, Vios Tower, New Cuffe Parade, Off Eastern Freeway, Wadala, Mumbai 400037, maintained by TSR Consultants Private Limited, C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (W), Mumbai 400 083 (TSR) for

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the purpose of issuing certificate under Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by TSR, hereby certify that:

1. the Company has delivered during the year ended on March 31, 2023 share certificates/letters of confirmation as per SEBI's operational guidelines for execution of transmission of shares, deletion of name received during the period 01/04/2022 to 31/03/2023.

As informed to me, no requests for transfer, name change, transposition, sub-division, consolidation, renewal and split of share certificates were received during the period 01/04/2022 to 31/03/2023.

2. Any other information, if applicable: - N.A.



SONALI V. BHUTA

Mumbai, 19.04.2023

UDIN - F007451E000141695

