

THE UGAR SUGAR WORKS LIMITED.

Works * Ugar Khurd – 591 316, Dist.Belgaum, Karnataka
E-mail * helpdesk@ugarsugar.com
Regd. Office * Mahaveernagar, Sangli – 416 416, Maharashtra.
E-mail * Usw.sangli@ugarsugar.com

Phone * -91 8339 272230 (5 Lines) Fax * -918339 272232
Website * www.ugarsugar.com
Phone * -91 233 2623717, 2623716 Fax * -91 233 2623617
TIN No. * 29520007001, PAN-AAACT7580R
GSTIN * 29AAACT7580R1ZD. ECC No.AAACT7580 RXM001.
(CIN – L15421PN1939PLC006738)

Sec/

Date: 21.12.2022

To,
The Executive Director,
Bombay Stock Exchange Ltd.,
P J Towers, Dalal Street,
Mumbai.
Tel No: (022) 22721234
Fax No: (022) 22721278/22722039
Stock Code: 530363

To,
Corporate Communications
National Stock Exchange of India Ltd.
Exchange Plaza, Plot no. C/1, G Block
Bandra-Kurla Complex, Bandra (E)
Mumbai - 400 051 Tel No: (022) 26598148
Fax No: (022) 26598120
Stock Code: UGARSUGAR

Dear Sir,

Sub: Regulation 39(3) information regarding loss of share certificate.

The below Details are intimation for issue of duplicate certificate and date of intimation is 20.12.2022.

Client Name	Cert. No	Dist. No From	Dist. NO. To	Folio No.	Quantity	Name
THE UGAR SUGAR WORKS LTD	411	9785701	9786200	0000411	500	ANNAPPA SHIVAPPA WALI
--do--	411	9786201	9786700	0000411	500	--do--
--do--	411	9786701	9787200	0000411	500	--do--
--do--	411	9787201	9787700	0000411	500	--do--
--do--	411	9787701	9788200	0000411	500	--do--
--do--	411	22441291	22441310	0000411	20	--do--
--do--	411	39875651	39876150	0000411	500	--do--
--do--	8904	56837185	56838996	0000411	1812	--do--

Kindly acknowledge the receipt.

Thanking you,
Yours faithfully,
For The Ugar Sugar Works Ltd.,

Company Secretary
(M. No: A45586)

Mumbai :701, Roha Orion, 16th Road, Bandra (W), Mumbai 400 050.,Phone:+91 22 26043540, Telefax:+91 22 26045848, E-mail :usw.bby@ugarsugar.com.

Bangalore: 317, 14thCross,,9thMain Jaynagar, II Block,Back Side of Kuchalamba Kalyan Mantap,560 011.Ph./Fx:+91 80 26565630, mail:usw.blr@ugarsugar.com.

Belgaum : Chinmaya, Plot No.267/B, Sector No.II, Backside of S.B.I. Shivabasav Nagar-591 010, Telefax No.+91831 2472204,Email:usw.bgm@ugarsugar.com.

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TIN No. * 29520007001, PAN-AAACT7580R
GSTIN * 29AAACT7580R1ZD. ECC No.AAACT7580 RXM001.
(CIN – L15421PN1939PLC006738)

From: bssduplicate@bigshareonline.com [mailto:bssduplicate@bigshareonline.com]

Sent: 20 December 2022 17:47

To: tushar.deshpande@ugarsugar.com; usw.secretrialdept@ugarsugar.com

Cc: harisha@bigshareonline.com; bss.transactions@bigshareonline.com; joyv@bigshareonline.com; Bssduplicate@Bigshareonline.Com

Subject: Duplicate Share Intimations Received On : 20221220 THE UGAR SUGAR WORKS LTD Folio no: 0000411

Dear Sir/Ma'am,

Please be informed that in terms of the provisions of the SEBI (LODR) Regulations, 2015, the Company is required to submit information regarding loss of share certificates and issue of duplicate certificates, to the stock exchange within two days of its getting information. Further, the said intimation has to be filed only through the mode of NEAPS filing for NSE and on listing.bseindia.com for BSE and not via hard copy submission.

Accordingly, we are sharing herewith the receipt of the following request for loss of share certificate of the Company by shareholders, to enable you to comply with the said regulatory requirement within the timelines prescribed.

Client Name	Cert. No	Dist. No From	Dist. NO. To	Folio No.	Quantity	Name	JH 1	JH 2
THE UGAR SUGAR WORKS LTD	411	9785701	9786200	0000411	500	ANNAPPA SHIVAPPA WALI		
THE UGAR SUGAR WORKS LTD	411	9786201	9786700	0000411	500	ANNAPPA SHIVAPPA WALI		
THE UGAR SUGAR WORKS LTD	411	9786701	9787200	0000411	500	ANNAPPA SHIVAPPA WALI		
THE UGAR SUGAR WORKS LTD	411	9787201	9787700	0000411	500	ANNAPPA SHIVAPPA WALI		
THE UGAR SUGAR WORKS LTD	411	9787701	9788200	0000411	500	ANNAPPA SHIVAPPA WALI		
THE UGAR SUGAR WORKS LTD	411	22441291	22441310	0000411	20	ANNAPPA SHIVAPPA WALI		
THE UGAR SUGAR WORKS LTD	411	39875651	39876150	0000411	500	ANNAPPA SHIVAPPA WALI		
THE UGAR SUGAR WORKS LTD	8904	56837185	56838996	0000411	1812	ANNAPPA SHIVAPPA WALI		

Should you have any queries in respect of the aforesaid instruction kindly revert back.

Regards,

Bigshare Services Pvt. Ltd.

Mumbai :701, Roha Orion, 16th Road, Bandra (W), Mumbai 400 050, Phone:+91 22 26043540, Telefax:+91 22 26045848, E-mail :usw.bby@ugarsugar.com.

Bangalore: 317, 14thCross.,9thMain Jaynagar, II Block,Back Side of Kuchalamba Kalyan Mantap,560 011.Ph./Fx:+91 80 26565630, mail:usw.blr@ugarsugar.com.

Belgaum : Chinmaya, Plot No.267/B, Sector No.II, Backside of S.B.I. Shivabasav Nagar-591 010, Telefax No.+91831 2472204,Email:usw.bgm@ugarsugar.com.

Form ISR-4

(see circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated January 25, 2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

Request for issue of Duplicate Certificate and other Service Requests

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

Date: 5/12/2022


A. Mandatory Documents / details required for processing all service request:

I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer Company (tick as relevant, refer to the instructions):

- Demat Account No. (If available):

Provide Client Master List (CML) of your Demat Account from the Depository Participant*

- Provide the following details, if they are not already available with the RTA (see [SEBI circular dated November 03, 2021](#) in this regard)

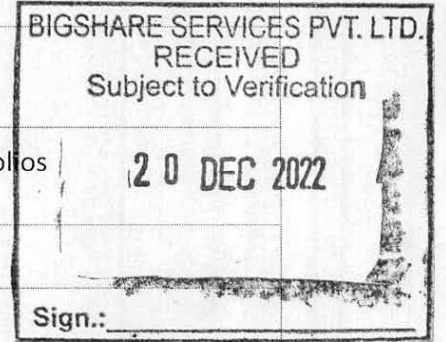
PAN	Specimen Signature
Nomination / Declaration to Opt-out	

* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your CML). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use [Form ISR-1](#) in [SEBI circular dated November 03, 2021](#).

B. I / We request you for the following (tick relevant box)

604097

<input checked="" type="checkbox"/> Issue of Duplicate certificate	<input type="checkbox"/> Claim from Unclaimed Suspense Account
<input type="checkbox"/> Replacement/ Renewal / Exchange of securities certificate	<input type="checkbox"/> Endorsement
<input type="checkbox"/> Sub-division / Splitting of securities certificate	<input type="checkbox"/> Consolidation of Folios
<input type="checkbox"/> Consolidation of Securities certificate	<input type="checkbox"/> Transmission
<input type="checkbox"/> Transposition (Mention the new order of holders here)	



C. I / We are enclosing certificate(s) as detailed below**:

Name of the Issuer Company	The Ugar Sugar Works Ltd
Folio Number	0000411
Name(s) of the security	1. Shivanand Annappa wali

holder(s) as per the certificate(s)	2. <u>Two</u> 3.
Certificate numbers	<u>40</u> <u>8904</u>
Distinctive numbers	<u>9785701-9988200</u> <u>56837185-56838996</u> <u>22441291-22641810</u>
Number & Face value of securities	<u>3987500</u> - <u>89876150</u> <u>Rs 11- each. Holding 4832 Shares.</u>

** Wherever applicable / whichever details are available

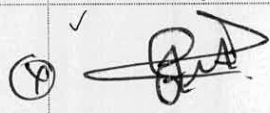
D. Document / details required for specific service request:

- I. Duplicate securities certificate
- II. Claim from Unclaimed Suspense Account

Securities claimed	<u>4832</u>	(in numbers)
	<u>four thousand eight hundred thirty-two only</u>	(in words)
- III. Replacement / Renewal / Exchange of securities certificate
(that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)
- IV. Endorsement
- V. Sub-division / Splitting of securities certificate
- VI. Consolidation of securities certificate/Folios
- VII. Transmission
- VIII. Transposition

Provide / attach original securities certificate(s) for request for item numbers III to VIII above.

Declaration: All the above facts stated are true and correct to best of my / our knowledge and belief.

	Security Holder 1 / Claimant	SecurityHolder 2	SecurityHolder 3
Signature	✓ 	✓	✓
Name	✓ <u>Shivaram Annapa Wale</u>		✓
Full address	<u>Station Road</u> <u>AP: Uga, Khed. Dist: Belgaur</u>		
PIN	✓ <u>591316</u> □ □	□ □ □ □ □ □	□ □ □ □ □ □

After processing the service request, the RTA shall issue a 'Letter of Confirmation' to the securities holder/claimant, which is valid only for 120 days. Using this 'Letter of Confirmation', the securities holder/claimant shall request the DP to dematerialize the securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.