

# Ritco Logistics Limited

**Date: 9<sup>th</sup> May 2023**

To  
The Manager  
Listing Department  
National Stock Exchange of India Ltd.  
Exchange Plaza, Plot No. C-1, G Block,  
Bandra- Kurla Complex, Bandra (East)  
Mumbai-400051  
**NSE SYMBOL: RITCO**

To  
The General Manager  
Department of Corporate Services  
Bombay Stock Exchange Limited  
1<sup>st</sup> Floor, New Trading Ring, Rotunda  
Building, Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai-400001  
**BSE Scrip Code: 542383**

**Dear Sir/ Madam,**

**Sub: Annual Secretarial Compliance Report for the year ended March 31, 2023 as per Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations")**

Pursuant to Regulation 24A of the Listing Regulations read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated February 8, 2019, please find enclosed the annual secretarial compliance report for the year ended March 31, 2023.

This is for your information and records.

Yours Sincerely,  
For **Ritco Logistics Limited**

Manmohan  
Pal Singh  
Chadha

Digitally signed by Manmohan Pal Singh Chadha  
DN: cn=Pa, o=Haryana,  
2.5.4.20=44c09fca843f9f773a700a6d9a31b5c5e6fab07  
dec5d2c51f68e6e672804; postalCode=122001;  
street=A-28,GRAND MANSION,ROSE WOOD  
CITY,Gurgaon,Gurgaon,Farukh Nagar,Gurgaon,Gurgaon,H  
aryana 122001;  
privateKey=C:346:3aa8f5c459111246a06f31492,  
serialNumber=091462706389956664713320793632  
c064723789945764531f6908, title=8096, o=Personal,  
cn=Manmohan Pal Singh Chadha  
Date: 2023.05.09 18:31:22 +05'30'

**Man Mohan Pal Singh Chadha**  
**Chairman and Whole Time Director**  
**DIN: 01763805**  
**Add: A-28, Rosewood City, Sector-49,**  
**Gurgaon-122001**

Enclosed: -Compliance report issued by the Practicing Company Secretary



Corp. & Admin. Office : "RITCO HOUSE" 336, Phase-II, Udyog Vihar, Gurugram - 122016, Haryana  
Ph. : 0124-4702300/301 E-mail : ho@ritcologistics.com CIN No. : L60221DL2001PLC112167  
Regd. Office : 508, 5<sup>th</sup> Floor, Jyoti Shikhar Tower, District Centre, Janakpuri, New Delhi-110058 Ph.: 011-25522158

www.riticologistics.com

**ANNUAL SECRETARIAL COMPLIANCE REPORT OF M/S RITCO LOGISTICS LIMITED FOR THE YEAR ENDED  
31<sup>ST</sup> MARCH, 2023**

We **Mukun Vivek & Company** have examined:

- (a) all the documents and records made available to us and explanation provided by M/s Ritco Logistics Limited ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2023 ("Review Period") in respect of compliance with the provisions of:

- i) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- ii) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2014;
- (c) Securities and Exchange Board of India (registrar to an issue and share transfer agent) regulations, 1993 regarding the Companies Act and dealing with client

As per the representations made by the management and relied upon by us, during the period under review, provisions of the following regulations were not applicable to the Company during the Review Period:

- (a) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (b) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- (c) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (d) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (e) Securities and Exchange Board of India (De listing of Equity Shares) Regulations, 2021;



and based on the above examination, We hereby report that, during the Review Period:

- a. The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder.

- **Refer Annexure “A” annexed to the Report**

- b. The listed entity has taken the following actions to comply with the observations made in the previous report:

- **Refer Annexure “B” annexed to the Report**

**We, further report that –**

- The Company has complied with the requirements of Structural Digital Data Base in terms of Securities & Exchange Board of India (Prohibition of Insider Trading) Regulation, 2015 including various Circulars issued by SEBI thereunder and Circular(s) issued by BSE Limited dated March 16, 2023.

- There was no event of appointment/ re-appointment/ resignation of Statutory Auditors of the Listed Entity during the review period and the Listed Entity has not modified any terms of the appointment of its existing Auditor. In this regard, We, report that the Listed Entity has complied with Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.

Further to the matter and as advised in the BSE circular no. 20230316-14 and NSE circular no. NSE/CML/2023/21 dated March 16, 2023 as well as BSE Circular No. 20230410-41 and NSE Circular No. NSE/CML/2023/30 dated April 10, 2023, following are the additional information which is the parts of ongoing Annual Secretarial Audit Report –

S. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS*
1.	<p><b>Secretarial Standards:</b></p> <p>The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI)</p>	Yes	No Remarks
2.	<p><b>Adoption and timely updation of the Policies:</b></p> <ul style="list-style-type: none"> <li>• All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities.</li> <li>• All the policies are in conformity with SEBI Regulations and has been reviewed &amp; timely updated as per the regulations/circulars/guidelines issued by SEBI</li> </ul>	Yes  Yes	No Remarks  No Remarks

3.	<p><b>Maintenance and disclosures on Website:</b></p> <ul style="list-style-type: none"> <li>• The Listed entity is maintaining a functional website</li> <li>• Timely dissemination of the documents/information under a separate section on the website</li> <li>• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website</li> </ul>	Yes	No Remarks
4.	<p><b>Disqualification of Director:</b></p> <p>None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013</p>	Yes	No Remarks
5.	<p><b>To examine details related to Subsidiaries of listed entities:</b></p> <p>a) Identification of material subsidiary companies</p> <p>b) Requirements with respect to disclosure of material as well as other subsidiaries</p>	NA	The Company does not have any Material Subsidiary
6.	<p><b>Preservation of Documents:</b></p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.</p>	Yes	No Remarks
7.	<p><b>Performance Evaluation:</b></p> <p>The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations</p>	Yes	No Remarks
8.	<p><b>Related Party Transactions:</b></p> <p>a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions</p> <p>b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/ rejected by the Audit committee</p>	Yes	No Remarks
		NA	As Prior Approval in the Committee has been obtained

9.	<b>Disclosure of events or information:</b>  The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	No Remarks
10.	<b>Prohibition of Insider Trading:</b>  The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	Yes	No Remarks
11.	<b>Actions taken by SEBI or Stock Exchange(s), if any:</b>  No Actions taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	Yes	No Remarks
12.	<b>Additional Non-compliances, if any:</b>  any additional non-compliance observed for all SEBI regulation/circular/ guidance note etc.	No	No Remarks

#### Annexure "A"

The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below: –

Compliance Requirement (Regulations/Circulars/ guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action taken by	Type of Action (Advisory/Clarification/Fin e/Show Cause Notice/ Warning, etc.)	Details of Violation	Fine Amount	Observations / Remarks of the Practicing Company)	Management Response
NA	NA	No Deviation	No Action required to be taken	Not Any	No Violation	Nil	No Remark	N.A

#### Annexure "B"

The listed entity has taken the following actions to comply with the observations made in previous reports: –

Compliance Requirement	Regulation/ Circular	Deviations	Action taken by	Type of Action (Advisory/Clarif	Details of Violation	Fine Amount	Observations / Remarks of
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(Regulations/Circulars/ guidelines including specific clause)	No.			ication/Fin e/Show Cause Notice/ Warning, etc.)			the Practicing Company)
NA	NA	No Deviation	No Action required to be taken	Not Any	No Violation	Nil	No Remark

For Mukun Vivek and Company



**Mukun Arora**  
**(Partner)**  
M. No. 15980  
COP No. 4766

UDIN: A015980E000279265

Date: 09/05/2023  
Place: New Delhi