

SPARC/Sec/SE/2020-21/035

16th October 2020

To

The National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai – 400 051.

BSE Limited
P J Towers,
Dalal street,
Mumbai - 400001

Dear Sirs,

Sub: Submission of Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

As per the requirements of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find herewith the Compliance Certificate issued by M/s. Veenit Pal & Associates, Practicing Company Secretaries, for the half year ended 30th September 2020.

This is for your information and records.

Yours faithfully,
For **Sun Pharma Advanced Research Company Ltd.**

A handwritten signature in black ink, appearing to read "Debashis Dey", with a horizontal line extending to the right.

Debashis Dey
Company Secretary

Encl: As above.

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s SUN PHARMA ADVANCED RESEARCH COMPANY LIMITED** (the Company) maintained by **LINK INTIME INDIA PRIVATE LIMITED, MUMBAI**, Registrar and Share Transfer Agents pertaining to transfer of Equity Shares of the Company for the period from 01st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided to me, I hereby certify that the Company has delivered during the half year ended on 30th September, 2020:

- A) 1. Share certificates relating to the transfer of equity shares received by the Company during the period from 01st April, 2020 to 30th September, 2020, as entered in the memorandum of transfers have been issued within 30 days of the date of lodgement for transfer from respective date of lodgement for transfer of each deed except those rejected on technical grounds;
2. During the period 01st April, 2020 to 30th September, 2020, the Company has not received any request for Deletion of names, sub-division/consolidation/Duplicate, renewal, endorsement of calls/allotment monies, as per records provided to me for verification during the course of audit.
- B) Any other information, if applicable: **NIL**

**For Veenit Pal & Associates
Company Secretaries**



**Veenit Pal
(Proprietor)
M. No. 25565
COP No. 13149**



Place:- Mumbai
Date:- 16.10.2020

UDIN:- **A025565B000974535**