



ALFRED HERBERT (INDIA) LTD.

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Website : www.alfredherbert.co.in
CIN : L74999WB1919PLC003516

Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers, 25th Floor
Dalal Street
Mumbai 400 001

22nd April'2022

Dear Sirs,

**COMPLIANCE CERTIFICATE UNDER REGULATION 40(9)
OF SEBI (LODR) REGULATIONS, 2015
OUR SCRIP CODE NO. 505216**

We enclose herewith Compliance Certificate under Regulation 40(9) dated 15th April'2022 issued by Ms. Sweety Kapoor- Practicing Company Secretary, for year ended 31st March'2022 for your information and record.

Kindly acknowledge receipt.

Yours faithfully,
For Alfred Herbert (India) Limited,

Shobhana Sethi
Company Secretary and Chief Financial Officer



Encl: as above

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS, 2015**FOR THE YEAR ENDED 31ST MARCH, 2022**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-logged for transfer even after the deadline of 1st April, 2019. Further, SEBI vide its circular dated 7th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-logged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-logged physical shares vide its circular dated 2nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that the companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to issue of duplicate share certificate, claim from unclaimed suspense account, renewal/ exchange, endorsement, sub-division/ splitting, consolidation, transmission and transposition of share certificates.

Accordingly, I have examined the relevant books and records, files and other documents of *M/s. Alfred Herbert (India) Limited* ("the Company") having its Registered office at 13/3, Strand Road, Kolkata - 700 001, West Bengal, maintained and produced electronically by their Registrar and Share transfer Agent *M/s. Maheshwari Datamatics Pvt. Ltd.* ("RTA") for the financial year 1st April, 2021 to 31st March, 2022 ("Period") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 to the Company and based on the information provided, I hereby certify that:

- a. There were no request relating to transfers received during the said period;
- b. Share Certificate(s) in respect of requests for transmission of shares have been issued within permissive extended time line as granted by SEBI circular dated April 13, 2020 and April 29, 2021;
- c. The Company has not received any requests for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies, duplicate issue of share certificates as the case may be, during the said period;
- d. Other information - The Company has transferred 1544 equity shares to IEPF.

Place: Kolkata
Date: 15/04/2022



S. Kapoor
(Sweety Kapoor)

Practising Company Secretary
FCS - 6410, COP - 5738
UDIN: F006410D000123021