

# UMA EXPORTS LTD

CIN : L14109WB1988PLC043934

Date: 03/10/2023

Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza, 5<sup>th</sup> Floor,  
Bandra Kurla Complex,  
Mumbai-4000051

Listing Department,  
BSE Limited  
P.J.Towers,  
Dalal Street  
Mumbai-400001

Scrip: UMAEXPORTS

Scrip Code: 543513

Re.: Disclosure under Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015

Dear Sir,

Pursuant to Regulation 7 of SEBI (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), we are enclosing herewith the disclosures received to the Company under Regulation 7 of PIT Regulations, from Designated Person(s) of the Company, in Form B and C in respect to acquisition of Equity Shares of the Company.

We request you to kindly take the above disclosure on your records.

Kindly take the same on record.

Thanking You,  
Yours faithfully  
For Uma Exports Limited

SRITI  
SINGH ROY

Digitally signed by  
SRITI SINGH ROY  
Date: 2023.10.03  
18:14:29 +05'30'

Sriti Singh Roy  
Company Secretary

Encl.: Form B and C enclosed

Flat No. 16, 1st Floor, Ganga Jamuna Apartment  
28/1, Shakespeare Sarani, Kolkata - 700 017  
West Bengal, India

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P : +91-33-2281 3480  
E : info@umaexports.net.in  
W : www.umaexports.net

**FORM C**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6(2) –**  
**Continual Disclosure]**

Name of the company: UMA EXPORTS LTD

ISIN of the company: INE0GIU01018

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Directors/immediate relatives/other etc.)	Securities held prior to acquisition/disposal		Securities acquired / Disposed				Securities held post acquisition/disposal		Date of allotment advice/acquisition of shares/ Disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/public/rights/preferential offer/off market/Inter-set transfer, ESOPs, etc.)	Exchange on which the trade was executed
		Type of securities For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	Type of securities (For eg. Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value	Transaction Type (Purchase/ sale/ Pledge /Revocation /Invocation/ Others- please specify)	Type of securities (For eg. –Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
SIDDHI KHEMKA PAN: GELPK1684H DIN: 10047954  Address: Flat No. 10A , Orbit Victoria, 30 Shakespeare Sarani, Kolkata- 700017 Contact No.: 8777609020	Promoters Group, and Director	(Equity)	60,000 (0.18%)	Equity Shares	23,55,625 (6.97%)	NIL (By Partition of HUF)	Acquisition	Equity Shares	24,15,625 (7.15%)	29/09/2023	29/09/2023	03-10-2023	Off market by Partition of HUF	NA

Note: (i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.  
(ii) Value of transaction excludes taxes/brokerage/any other charges

*Siddhi Khemka*

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts*lot size)	Notional Value	Number of units(contracts*lot size)	
16	17	18	19	20	21	22
NIL	NIL	NIL	NIL	NIL	NIL	NIL

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature: Siddhi Khemka

*Siddhi Khemka*

Designation: Promoter Group

Date: 03-10-2023

Place: Kolkata

**FORM B****SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7 (1) (b) read with Regulation 6(2) - Disclosure on becoming a Director /KMP /Promoter]**

Name of the company: Uma Exports Limited

ISIN of the company: INE0GIU01018

**Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).**

Name, PAN, CIN / DIN & Address with contact nos.	Category of Person (Promoters/ KMP / Directors/mediate relative to/others etc.)	Date of appointment of Director /KMP OR Date of becoming Promoter	Securities held at the time of becoming Promoter/appointment of Director/KMP		% of Shareholding
			Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.)	No.	
1	2	3	4	5	6
Vanisha Khemka PAN:MGXDK6439A  Address: Flat No. 10A,Orbit Victoria, 30 Shakespeare Sarani, Kolkata- 700017 Contact No.: 9330741007	Promoter Group	29/09/2023	Equity Shares	23,55,625	6.97%

*Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.*

**Details of Open Interest (OI) in derivatives of the company held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter of a listed company and other such persons as mentioned in Regulation 6(2).**

Open Interest of the Future contracts held at the time of appointment of Director/KMP or upon becoming Promoter/member of the promoter group			Open Interest of the Option Contracts held at the time of appointment of Director/KMP or upon becoming Promoter/member of the promoter group		
Contract specifications	Number of units (contracts * lot size)	Notional value in Rupee terms	Contract specifications	Number of units (contracts * lot size)	Notional value in Rupee terms
7	8	9	10	11	12
Nil	Nil	Nil	Nil	Nil	Nil

*Note: In case of Options, notional value shall be calculated based on premium plus strike price of options*

Name &amp; Signature: Vanisha Khemka

Designation: Promoter Group

Date:03/10/2023

Place: Kolkata

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**FORM C**  
**SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6(2) –**  
**Continual Disclosure]**

Name of the company: UMA EXPORTS LTD

ISIN of the company: INE0GIU01018

**Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Director/immediate relative to / others etc.)	Securities held prior to acquisition/disposal		Securities acquired / Disposed				Securities held post acquisition/disposal		Date of allotment advice/acquisition of shares/ Disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/public/rights/preferential offer/offmarket/Inter-set transfer, ESO Ps, etc.)	Exchange on which the trade was executed
		Type of securities For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value	Transaction Type (Purchase/ sale Pledge /Revocation /Invocation/Others- please specify)	Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
VANISHA KHEMKA PAN: MGXDK6439A  Address: Flat No. 10A , Orbit Victoria, 30 Shakespeare Sarani, Kolkata- 700017 Contact No.: 9330741007	Promoters Group, and Director	(Equity)	NIL	Equity Shares	23,55,625 (6.97%)	NIL (By PARTI TION OF HUF)	Acquisiti on	Equity Shares	23,55,625 (6.97%)	29/09/2 023	29/09/ 2023	03/10/2023	Off market by PARTITION OF HUF	NA

**Note:** (i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.  
(ii) Value of transaction excludes taxes/brokerage/any other charges

*Vanisha Khemka*

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc.)					Exchange on which the trade was executed	
Type of contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts*lot size)	Notional Value		Number of units(contracts*lot size)
16	17	18	19	20	21	22
NIL	NIL	NIL	NIL	NIL	NIL	NIL

*Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.*

Name & Signature: Vanisha Khemka

*Vanisha Khemka*

Designation: Promoter Group

Date:

Place: Kolkata

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6(2) – Continual Disclosure]**

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 ISIN of the company: INEGIU01018

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Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Director/immediate relative/veto/other etc.)	Securities held prior to acquisition/disposal		Securities acquired / Disposed				Securities held post acquisition/disposal		Date of allotment advice/acquisition of shares/ Disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/public/rights/preferential offer/off market/Inter-set transfer, E SOPs, etc.)	Exchange on which the trade was executed
		Type of securities For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value	Transaction Type (Purchase/sale Pledge /Revocation /Invocation /Others- please specify)	Type of securities (For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of share holding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
MADAN MOHAN KHEMUKA & SONS PAN:AADHM0513M  Address: 28/1 SHAKESPEARE SARANI, KOLKATA-700017 CONTACT NO: 9433035419	Promoters Group	(Equity)	28,20,000 (8.34%)	Equity Shares	28,20,000	NIL (By PARTITION OF HUF)	Transfer (By PARTITION OF HUF)	Equity Shares	NIL	29/09/23	29/09/23	03/10/2023	Off market by partition of HUF	NA

Note: (i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.  
 (ii) Value of transaction excludes taxes/brokerage/any other charges

Madan Mohan Khemuka & Sons (HUF)

*(Handwritten signature)*

(KARTA)

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc.)					Exchange on which the trade was executed
Contract specifications	Buy		Sell		
	Notional Value	Number of units (contracts*lot size)	Notional Value	Number of units(contracts*lot size)	
17	18	19	20	21	22

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature: Madan Mohan Khemuka & Sons

Madan Mohan Khemuka & Sons (HUF)

Designation: Promoter Group

Date:



(KARTA)

Place: Kolkata



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**SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6(2) – Continual Disclosure]**

Name of the company: UMA EXPORTS LTD

ISIN of the company: INE0GIU01018


**Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter/member of the promoter group/designated person/Directors/immediate relative/veto/other etc.)	Securities held prior to acquisition/disposal		Securities acquired / Disposed				Securities held post acquisition/disposal		Date of allotment advice/acquisition of shares/ Disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/public/rights/preferential offer/off market/Inter-set transfer, ESOPs, etc.)	Exchange on which the trade was executed
		Type of securities For eg. - Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	Type of securities (For eg.. Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value	Transaction Type (Purchase/sale Pledge /Revocation /Invocation /Others- please specify)	Type of securities (For eg. -Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of share holding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
RAKESH KUMAR KHEMKA (HUF) PAN:AAKHR6011G  Address: 28/1 SHAKESPEARE SARANI, GANGA JAMUNA APARTMENT, KOLKATA-700017 CONTACT NO: 8240559157	Promoters Group	(Equity)	18,91,250 (5.59%)	Equity Shares	18,91,250	NIL (by partition of HUF)	Transfer (By PARTITION OF HUF)	Equity Shares	NIL	29/09/2023	29/09/2023	03-10-2023	Off market by partition of HUF	NA

Note:(i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

(ii) Value of transaction excludes taxes/brokerage/any other charges

Rakesh Kumar Khemka (HUF)

  
(KARTA)

Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc.)					Exchange on which the trade was executed
Contract specifications	Buy		Sell		
	Notional Value	Number of units (contracts*lot size)	Notional Value	Number of units (contracts*lot size)	
17	18	19	20	21	22
NIL	-	-	-	-	-

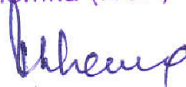
Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature: RAKESH KUMAR KHEMKA (HUF)

Rakesh Kumar Khemka (HUF)

Designation: Promoter Group

Date: 03-10-2023

  
(KARTA)

Place: Kolkata