



30th June, 2021

To,
The Manager,
Listing Department,
BSE Limited
P.J. Towers, Dalal Street,
Fort, Mumbai – 400 001

Scrip Code: 504351
Scrip Id : EMPOWER

Dear Sir/ Madam,

Subject: Secretarial Compliance Report for the year ended March 31, 2021.

Pursuant to Regulation 24(A) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 enclosed herewith Secretarial Compliance Report issued by Practicing Company Secretary for the year ended March 31, 2021.

This is for your information and records.

Thanking You,

For Empower India Limited

RAJGOPALAN S IYENGAR
Chartered Accountant
ICAI - 22045055
1987

Rajgopalan Iyengar
Director
DIN: 00016496

Empower India Limited

CIN: L51900MH1981PLC023931

Regd Office: 25 /25A, II Floor, 327, Nawab Building, D.N.Road, Fort, Mumbai – 400 001.

Phone: 022- 22045055, 22045044, Mobile/Helpdesk No.: 9594750003

Email: info@empowerindia.in; Website: www.empowerindia.in

JCA & Co.

Company Secretaries Firm

WING B, NO. 003 GROUND FLOOR, SHIV OM CHS LTD, MIRA ROAD EAST, THANE, MH-401107

**Secretarial Compliance Report of Empower India Limited for the year ended
March 31, 2021**

We, **M/s. JCA & Co.** have examined:

- a) All the documents and records made available to us and explanation provided by **Empower India Limited** ("the listed entity");
- b) The filings / submissions made by the listed entity to the stock exchanges;
- c) Website of the listed entity;
- d) Any other document / filing, as may be relevant which has been relied upon to make this certification,

For the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;



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and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, Except in respect of matters specified below:-

Sr. No.	Compliance Requirement (regulations / circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
	Reg 6(1) of SEBI (LODR) Regulations, 2015	Non-compliance with requirement to appoint a qualified company secretary as the compliance officer	The company have taken necessary steps and appointed a Whole time Company Secretary and Compliance Officer with effect from 17 th March 2021 whereby complying with the provision of Reg 6(1) of SEBI (LODR) Regulations, 2015.

- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.
- c) The following are the details of actions taken against the listed Entity / its promoters/ directors / material subsidiaries either by SEBI or by Stock Exchanges (Including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc.	Observations / remarks of the Practicing Company Secretary, if any.
01	Bombay Stock Exchange	Non-compliance with requirement to appoint a qualified company secretary as the compliance officer for the quarter ended December 2020	Imposed fine of Rs. 1,08,560/-	-



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- d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March 2020	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1	Reg 6(1) of SEBI (LODR) Regulations, 2015 Non-compliance with requirement to appoint a qualified company secretary as the compliance officer	Company is under process to appoint a qualified Company Secretary & Compliance Officer	The company has appointed a Whole time Company Secretary and Compliance Officer with effect from 17 th March 2021	The company has appointed a Whole time Company Secretary and Compliance Officer whereby complying with the provision of Reg 6(1) of SEBI (LODR) Regulations, 2015.

Note:

I have conducted online verification and examination of records, as facilitated by the Company and their representatives, due to COVID 19 Pandemic and subsequent lockdown situation, for the purpose of issuing this Report / Certificate.

**For JCA & Co.
Company Secretaries**



**CS Chirag Jain
Partner
Membership No.: F11127
CP No.: 13687
UDIN: F011127C000536289**

**Place: Mumbai
Date: 29/06/2021**