

Swan Energy Limited

(Formerly Swan Mills Limited)

6, Feltham House, 2nd Floor, 10 J. N. Heredia Marg, Ballard Estate, Mumbai 400001.
Tel.: 022-4058 7300 • Fax : +91-22-4058 7360 • Email: swan@swan.co.in
CIN. L17100MH1909PLC000294

Swan/nse/bse

10th January, 2022

Dept. of Corporate Compliances,
National Stock Exchange Limited,
Exchange Plaza, Plot No. C/1, G Block,
Bandra –Kurla Complex, Bandra-East,
Mumbai – 400 051

Dept. of Corporate Service,
Bombay Stock Exchange Limited
P.J. Tower, Dalal Street, Fort
Mumbai – 400 001

Dear Sir,

SUB: Submission of certificate under Regulation 74 of SEBI (Depositories and Participants) Regulation, 2018 for the Quarter ended 31st December, 2021

We are hereby submitting Certificate received by the Company under Regulation 74 of SEBI (Depositories and Participants) Regulation, 2018 from the Registered Transfer Agent of the Company for the Quarter ended 31st December, 2021.

The above is for your information and dissemination please.

Thanking you,

Yours faithfully,

For Swan Energy Limited



(Arun Agarwal)
Company Secretary



PURVA SHAREGISTRY (I) PVT. LTD.

(SEBI Regn. INR000001112 Category 1 Registrars to IPO & Share Transfer Agents)

Service Tax No. AAACP4924D ST001 • CIN No. U67120MH1993PTC074079

9 Shiv Shakti Industrial Estate, J. R. Boricha Marg, Lower Parel East, Mumbai - 400 011
Tel : 2301 2518 / 2301 6761 • Fax : 2301 2517 • Email : support@purvashare.com • Web : www.purvashare.com

01/01/2022

To,

SWAN ENERGY LIMITED - INE665A01038

Dear Sir/Madam.

Sub: Request for confirmation certificate as per Regulation 74(5) of SECURITIES AND EXCHANGE BOARD OF INDIA (DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 2018

As per Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, we hereby confirm that we have complied with the following within 15 days of receipt of the certificate(s) of security from the Depository Participant(DP):

- The securities comprised in the certificate(s) of Security dematerialised, have been listed on stock exchanges where the earlier issued securities are listed.
- After due verification, immediately mutilated and cancelled the certificate(s) of security received for dematerialisation.
- Substituted in our records the name of the Depository as the registered owner and had sent a certificate to this effect to the Depositories and to every stock exchange on a quarterly basis, where the security is listed.

Thanking you,

Yours faithfully,

For Purva Share Registry (India) Pvt. Ltd.

Ms. Deepali Dhuri

Compliance Officer.

SWAN ENERGY LIMITED - INE665A01038 - Details of Share certificates dematerialised from
01/10/2021 to 31/12/2021

FOLIO	NAME OF SHAREHOLDER	CERTIFICATE NO.	DIST. NO. (FROM)	DIST. NO.(TO)	SHARES	DEMATERIALISED ON
M0010015	MEETA GIRISHKUMAR SHAH	0303796	1504301	1504800	500	02/10/2021
M0010016	MEETA GIRISHKUMAR SHAH	0303795	1502801	1503800	1000	02/10/2021
045622	BHANUMATI MANIBHAI DESAI	0301840	1231801	1233800	2000	06/10/2021
M0010017	MRUDULA GORDHANDAS	0300958	700901	702500	1600	18/11/2021
K00156	KUMAR MAHENDRA DOSHI	0302519	1916101	1916200	100	30/11/2021
U0010004	UNMESH AMARCHAND KANTAWALA	0300463	337601	338600	1000	03/12/2021
047957	GOPAL RAO KESHAO RAO BUTY	0302205	1504901	1506500	1600	14/12/2021
K00170	KISHORI SHANTILAL SHAH	0302435	1827601	1828200	600	14/12/2021