



NTPC Limited

(A Govt. of India Enterprises)
Corporate Centre

Ref. No.01:SEC:LA:4
Dated : 26.4.2021

General Manager Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	Manager Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
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Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 31.3.2021

Dear Sir/Madam,

Pursuant to Regulation 40 (10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s Manish Ghia & Associates, Company Secretaries for the half-year ended 31.3.2021 in compliance with Regulation 40 (9) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

Nandini Sarkar

(Nandini Sarkar)
Company Secretary &
Compliance Officer

Encl: as above

**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,
2015 FOR THE HALF YEAR ENDED 31st MARCH, 2021**

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **NTPC Limited** having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodi Road, New Delhi – 110003 maintained by **Alankit Assignments Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st October, 2020 to 31st March, 2021**, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **NTPC Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **31st March, 2021** the Company:

- a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.

For Manish Ghia & Associates
Company Secretaries

(Unique ID: P2006MH007100)



Mannish L. Ghia

CS Mannish L. Ghia
Partner

M. No. FCS 6252, C.P. No. 3531
PR 822/2020

Place: Mumbai
Date: April 26, 2021
UDIN: F006252C000176169

Note: We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. The Report is as such based on inputs received via email from RTA and oral confirmation with RTA.