

# **JIGNESH M.PANDYA & CO.**

Company Secretaries

205, Shashi Co-op. Hsg. Soc. Ltd.  
Devidas Road, Borivali (west)  
Mumbai 400 103

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The Board of Directors  
Swan Energy Limited.  
6, Feltham House, 2<sup>nd</sup> Floor,  
10, J.N. Herdia Marg, Ballard Estate,  
Mumbai 400 001

Dear Sir,

## **CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015**

### **FOR THE HALF YEAR ENDED 31<sup>st</sup> March, 2020.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SWAN ENERGY LIMITED** maintained by **PURVA SHAREGISTRY (INDIA) PVT. LTD.** pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> October, 2019** to **31<sup>st</sup> March, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for **SWAN ENERGY LIMITED** and based on the information provided by the Purva Sharegistry (India) Pvt. Ltd., I hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March, 2020:**

A) Share Certificate(s) relating to the transfer of Shares received during the period from **1<sup>st</sup> October, 2019** to **31<sup>st</sup> March, 2020** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

For **JIGNESH M PANDYA & CO.,**  
Company Secretaries



UDIN: A007346B000199384  
Date:- 04<sup>th</sup> May, 2020

Proprietor  
ACS - 7346, CP -7318