

April 27, 2023

To

<b>BSE Limited,</b> <b>Dept. of Corporate Services,</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001 <b>Company Code: 505075</b>	<b>National Stock Exchange of India Ltd,</b> <b>Listing Department</b> Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai – 400051 <b>Scrip Symbol: SETCO</b>
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Dear Sir,

**Sub: Certificate under Regulation 40 (9) of SEBI (LODR) Regulations, 2015**

Pursuant to Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s. P.P. Shah & Co., Practicing Company Secretaries for the year ended 31<sup>st</sup> March, 2023.

Kindly take the above information on record and acknowledge receipt.

Thanking you,

Yours faithfully,

**For Setco Automotive Limited**

**Hiren Vala**  
**Company Secretary**

**Encl.:** as above.

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LODR) REGULATIONS, 2015**  
**FOR THE FINANCIAL YEAR ENDED 31<sup>ST</sup> MARCH, 2023**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s. Setco Automotive Limited* having its registered office situated at Baroda – Godhra Highway, Kalol – 389 330, District Panchmahals, Gujarat maintained by *M/s. Link Intime (India) Private Limited*, a Registrar & Share Transfer Agent (RTA) having its office at C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai – 400 083 pertaining to transfer of equity shares (share transfer deeds of which were lodged prior to October 1, 2020 and returned due to deficiency and re-lodged during the financial year ended March 31, 2022) of the company for the period from *01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023* for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (LODR) Regulations, 2015 entered into by, *M/s. Setco Automotive Limited* with BSE Limited & National Stock Exchange of India Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during *financial year ended on 31<sup>st</sup> March, 2023*:

A) Share/Debtenture–Certificate(s) relating to the transfer of Shares/Debtentures–(share transfer deeds of which were lodged prior to October 1, 2020 and returned due to deficiency and re-lodged during the financial year ended March 31, 2022) received during the period from *01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) *Any other information, if applicable:*

Note: As per The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) (Fourth Amendment) Regulations, 2018, (hereinafter SEBI (LODR)) read with SEBI Notification No. SEBI/LAD-NRO /GN/ 2018/49 dated 30<sup>th</sup> November, 2018, first proviso has been inserted in Regulation 40 (1) of SEBI (LODR) as per which requests for effecting transfer of securities w.e.f. 1<sup>st</sup> April, 2019 shall not be processed unless the securities are held in



the dematerialized form with a depository. Accordingly, during the period from *01<sup>st</sup> April, 2022 to 31<sup>st</sup> March, 2023* no requests for share transfers were processed in physical form.



Date: 27<sup>th</sup> April, 2023  
Place: Mumbai

For P. P. SHAH & CO.

*Pradip C-Shah*

**PRADIP SHAH**  
Practising Company Secretary  
Partner  
Membership No: 1483  
Certificate of Practice: 436  
UDIN: F001483E000209196

**Please note that:**

- 1) This Certificate should be given by a *Practising Company Secretary*.
- 2) The Certificates should be given for *Financial Year ended 31<sup>st</sup> March, 2023*.