

April 15, 2021

To The General Manager - Listing Department BSE Limited 27th Floor, P. J. Towers, Dalal Street, Fort, Mumbai – 400 001 Scrip Code: 524051	To The Manager - Listing Department National Stock Exchange of India Limited Exchange Plaza, NSE Building, Bandra- Kurla Complex, Bandra (E), Mumbai- 400 051 Symbol : POL YPLEX
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Dear Sir,

Subject: Regulation 40(9) of SEBI (LODR) Regulations, 2015- Furnishing of certificate from Company Secretary in practice for the half year ended March 31, 2021.

Please find enclosed herewith certificate issued by M/s. P.P. Agarwal & Co., Company Secretaries, pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 for the half year ended March 31, 2021

This is for your information and record please.

Thanking You,

Yours faithfully,
For Polyplex Corporation Limited



Ashok Kumar Gurnani
Company Secretary

Encl: As above

Polyplex Corporation Limited
(CIN: L25209UR1984PLC011596)

B-37, Sector-1, Noida - 201 301, Distt. Gautam Budh Nagar (U.P.) India
Board: +91.120.2443716-19, Fax: +91.120.2443723 & 24 Website : www.polyplex.com
Registered Office: Lohia Head Road, Khatima - 262308, Distt. Udham Singh Nagar, Uttarakhand, India

CERTIFICATE FOR THE HALF YEAR ENDED ON MARCH 31, 2021

[Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

We have examined Securities Transfer Form(s), Memorandum of Transfers, registers, files and other documents relating to Polyplex Corporation Limited maintained by KFin Technologies Private Limited ('RTA'), Hyderabad pertaining to transfer and/or transmission and/or transposition or issuance of duplicates shares thereof etc. of securities of the Company for the period from **01.10.2020 to 31.03.2021** for the purpose of issuing a half yearly certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/documents provided via email by the Company/ RTA, we hereby certify that:

- A) (i). The Company has received requests for transfer relating to the transfer deeds lodged prior to April 1, 2019 and re-lodged thereafter during the period under review from October 1, 2020 to March 31, 2021. As informed/explained, company is in the process of completing formalities prescribed by SEBI in this behalf* and letter of confirmation will be issued to the transferee on completion of all formalities.
- (ii). The Company has issued share certificates to the claimants relating to the transmission of shares and deletion of name of deceased holders in case of joint holdings, lodged during the period under review from 01.10.2020 to 31.03.2021 have been issued within Twenty one days from respective date of lodgment of request excepting those rejected on technical grounds. *However no requests for transposition in name of shareholders were received during the period under review.*
- (iii). The Company has issued share certificates in respect of requests received during the period under review from 01.10.2020 to 31.03.2021 for issue of duplicate certificate within thirty days from respective date of lodgment, provided all the required documents were in order. *However there were no requests for sub-division, consolidation, renewal of share certificates and company has not made any calls or allotment during the period under review.*
- B). Any other information, if applicable: - Nil / Not Applicable.

Note:

* *In terms of Regulation 40 (1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, transfer of securities held in physical mode has been discontinued w.e.f. April 01, 2019. Subsequently, vide Press Release No. 12/2019 dated March 27, 2019, it was clarified that transfer deeds lodged prior to deadline of April 01, 2019 and rejected / returned due to deficiency in the documents may be re-lodged with requisite documents. Further, as per SEBI Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated September 07, 2020 read with SEBI Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236, Dated December 02, 2020 cut-off date for re-lodgement of transfer deeds has been valid upto March 31, 2021 also mandated that the shares that are re-lodged for transfer shall henceforth be issued only in demat mode by way of letter of confirmation.*

For **P. P. AGARWAL & CO.**
Company Secretaries

(Pramod Prasad Agarwal)
Proprietor
UDIN:F004955C000098004
C.P. No. 10566
FCS 4955

Place: New Delhi
Date: April 15, 2021