



October 20, 2020

The National Stock Exchange (India) Ltd., Exchange Plaza, Bandra-Kurla Complex, Bandra (East), Mumbai – 400 051.	BSE Limited, Corporate Relationship Department, P. J. Towers, Dalal Street, Fort, Mumbai – 400 023.
Code : PRSMJOHNSN	Code : 500338

Dear Madam/Sir,

This has reference to SEBI circular no. SEBI/HO/ISD/ISD/CIR/P/2020/135 dated July 23, 2020 in relation to reporting violations of PIT Regulations under the Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015. Please find enclosed report for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 by one employee of the Company and action taken by the Company for such violation.

Kindly take note of the above.

Thanking you,

Yours faithfully,

for **PRISM JOHNSON LIMITED**

ANEETA S. KULKARNI
COMPANY SECRETARY



Report by Prism Johnson Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

[Refer Clause 13.5 of the Code of Conduct for Prohibition of Insider Trading in Securities of Prism Johnson Limited]

Sr. No.	Particulars	Details
1.	Name of the listed company	Prism Johnson Limited
2.	Reporting in capacity of :	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of the DP	Ms. Chandrika Rateesh
	ii. PAN of the DP	AHGPR7760M
	iii. Designation of DP	Deputy Manager - MIS
	iv. Functional Role of DP	MIS - Marketing Accounts
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	Not Applicable
	C. Details of transaction(s)	
	i. Name of the scrip	Equity Shares of Prism Johnson Limited BSE - 500338 & NSE - PRSMJOHNSN
	ii. No of shares traded and value (Rs.) (Date- wise)	(i) Purchase of 14 equity shares on September 9, 2020 for Rs. 701.50 (ii) Sale of 14 equity shares on October 5, 2020 for Rs. 838.85
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	Not Applicable
4.	Details of violations observed under Code of Conduct	Trading of shares during trading window closure and contra-trade within six months of previous transaction
5.	Action taken by Listed company/ Intermediary/ Fiduciary	Strict Warning Letter issued to Ms. Chandrika Rateesh
6.	Reasons recorded in writing for taking action stated above	Ms. Chandrika has informed that she had no knowledge of any unpublished price sensitive information, she had no intention of making any sort of profit from selling 14 shares or defrauding the Company, she had assured that such incident will not happen in future and she shall not do any contra-trade or opposite transaction during the next six-months following the prior transaction.



7.	Details of the previous instances of violations, if any, since last financial year	None
8.	If any amount collected for Code of Conduct violation(s)	Not Applicable
9.	Any other relevant information	Not Applicable

Yours faithfully,

for **PRISM JOHNSON LIMITED**

ANEETA S. KULKARNI
COMPANY SECRETARY

Name of the Compliance Officer : Aneeta S. Kulkarni
Email ID : Aneeta.kulkarni@prismjohnson.in
Date : October 20, 2020
Place : Mumbai

