



YBL/CS/2023-24/008

April 12, 2023

Department of Corporate Services,
BSE Limited
P.J. Towers, Dalal Street
Mumbai - 400 001
BSE Scrip Code: 532648

Listing Department
National Stock Exchange of India Limited
Exchange Plaza
Plot no. C/1, G Block,
Bandra - Kurla Complex
Bandra (E)
Mumbai - 400 051
NSE Symbol: YESBANK

Dear Sirs/Madam,

Sub: Certification under Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023

Please find enclosed herewith Certificate received from U. Hegde & Associates, Company Secretaries, certifying compliance with Regulation 40(9) read with Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the year ended March 31, 2023.

Kindly take the same on your record.

Thanking you,

Yours faithfully,

For **YES BANK LIMITED**

Shivanand R. Shettigar
Company Secretary

Encl: As above

U. HEGDE & ASSOCIATES

COMPANY SECRETARIES

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS & DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023

I, have examined all the records and documents for the period from April 1, 2022 to March 31, 2023 in relation to Share Transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, maintained by Registrar & Transfer Agents ("RTA") KFin Technologies Limited having its office at Selenium Building, Tower B, Plot No-31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, 500032, for their client YES BANK LIMITED ("the Bank"), having registered office at YES BANK House, Off Western Express Highway, Santacruz (East), Mumbai 400055, Maharashtra, India, for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015. Based on the documents and information made available from Bank/RTA, I hereby certify that:

- A) During the period from April 1, 2022 to March 31, 2023, no transfer deeds were lodged/re-lodged with Bank/RTA and hence, the compliance of Regulation 40(9) read with the SEBI circular SEBI/HO/MIRSD/RTAMB/CIR/P/2020/166 dated September 07,2020 and SEBI/HO/MIRSD/RTAMB/CIR/P/2020/236 dated December 02,2020 did not arise for transfer of equity shares.
- B) During the period from April 1, 2022 to March 31, 2023, the Bank/RTA did not receive request relating to sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, therefore the Bank was not required to deliver the share certificates within 30 days from date of lodgment.

FOR U.HEGDE & ASSOCIATES, COMPANY SECRETARIES

U. Hegde

UMASHANKAR K HEGDE
(Proprietor)
COP No- 11161 # M. No- A22133

ICSI UDIN No- A022133E000076616

Date: 12/04/2023

Place: Mumbai

