



**Carborundum Universal Limited**

**Regd.off :** 'Parry House', 43, Moore Street,  
Chennai - 600 001, India.

Tel.: +91-44-3000 6161 Fax : +91-44-3000 6149

Email : cumigeneral@cumi.murugappa.com

Website : www.cumi.murugappa.com

CIN No. : L29224TN1954PLC000318.

23<sup>rd</sup> October 2019

To

BSE Limited,  
1<sup>st</sup> Floor, New Trading Ring  
Rotunda Building, P J Towers  
Dalal Street, Fort  
Mumbai 400 001

**Stock Code: 513375**

National Stock Exchange of India Ltd.  
Plot No. C/1, G Block  
Bandra-Kurla Complex, Bandra (E)  
Mumbai 400 051

**Stock Code: CARBORUNIV-EQ**

Dear Sir,


**Sub: Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed the Compliance Certificate in terms of Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1<sup>st</sup> April 2019 to 30<sup>th</sup> September 2019 issued by M/s. R Sridharan & Associates, Company Secretaries.

Thanking you,

Yours faithfully  
**For Carborundum Universal Limited**

**Rekha Surendhiran**  
**Company Secretary**

  
Encl.: a/a

23<sup>rd</sup> October, 2019

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019

ISIN: INE120A01034

SECURITY CODE:513375

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **CARBORUNDUM UNIVERSAL LIMITED**, having its Registered Office at "Parry House" 43, Moore Street, Chennai - 600001 maintained by its Registrar and Share Transfer Agent viz., **Karvy Fintech Private Limited** having its office at Karvy Selenium, Tower B, Plot 31-32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad – 500 032 pertaining to transfer of equity shares of the company for the period from **01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (**LODR**) and Uniform Listing Agreement entered into by, **Carborundum Universal Limited with BSE Limited** and **Press release No. 49/ 2018** dated **03<sup>rd</sup> December, 2018** and **Press release No. 12/2019** dated **27<sup>th</sup> March, 2019** respectively issued by the Securities and Exchange Board of India and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended **30<sup>th</sup> September, 2019** delivered all the share certificates relating to the share transfer deeds received during the period from **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** as entered in the Memorandum of Transfers duly endorsed and issued within Thirty days from the date **1<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019** of lodgment for transfer as stipulated under the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

We further certify that based on the verification of records produced before us and information and the certificates produced by the Company/ RTA that the transfer forms lodged with the RTA during the above said period were relating to deficiency in the documents to the ones lodged prior to the deadline i.e 01st April 2019 vide press release No. 12/2019 dated 27/03/2019 issued by Securities and Exchange Board of India.

During the aforementioned period, **Carborundum Universal Limited** has received requests for issue of duplicate share certificates, Sub- division of share and the same has been delivered within the stipulated time period as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

During the aforementioned period **Carborundum Universal Limited** has not received any request for Consolidation of share certificate.

For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES



CS R.SRIDHARAN  
C.P.No.3239  
FCS No.4775

UIN:-S2003TN063400  
UDIN: F004775A000147863

CARBORUNDUM UNIVERSAL LIMITED