



**MEHTA
SECURITIES
LTD.**

Regd. Office : 2, Law Garden Apt. Scheme-1, Opp. Law Garden, Ellisbridge, Ahmedabad-380 006. Ph. : (079) 26561000, 26565566/67 Fax : (079) 26461513

To,
The Listing Department
BSE Limited
P J Towers,
Dalal Street,
Mumbai-400001

Date: 28/10/2021

SCRIP CODE: 511738

Sub: Compliance Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the Half year ended on 30th September, 2021.

Sir/Madam,

This is with reference to the above mentioned subject.

Enclosed please find the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Half year ended from 1st April, 2021 to 30th September, 2021.

Thanking you.

For, Mehta Securities Limited


Mahesh Motivaras
Company Secretary & Compliance Officer





To,
The Board of Directors,
Mehta Securities Limited
Ahmedabad

CERTIFICATE UNDER REGULATION 40 (9) OF THE LISTING REGULATION, 2015

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2021

On the basis of records and information pertaining to transfer of equity shares of **Mehta Securities Limited** maintained by **Purva Sharegistry (India) Pvt. Ltd** pertaining to transfer of equity shares of the company for the period from 1st April, 2021 to 30th September, 2021 for the purpose of issuing a Certificate as per Regulation 40 (9) of the Listing Regulations, 2015 entered into by, **Mehta Securities Limited** with BSE and based on the information provided by the Company I hereby certify that the Company has delivered during half year ended on **30th September, 2021:**

- a) Share/~~Debenture~~ Certificate(s) relating to the transfer of Shares/~~Debentures~~ received during the period from **1st April, 2021** to **30th September, 2021** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Date: 27/10/2021
Place: Ahmedabad



Rohit Bajpai & Associates

Rohit Bajpai

Practicing Company Secretary

Membership No. 18490

C.P. No. 6559

UDIN No.: A018490C001303939