

**Ref. No.: AUSFB/SEC/2023-24/241**

**Date: November 07, 2023**

**To,**

<b>National Stock Exchange of India Ltd.</b> Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. <b>NSE Symbol: AUBANK</b>	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. <b>Scrip Code: 540611</b>
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**Dear Sir/Madam,**

**Sub: Intimation regarding Issue of Duplicate Share Certificate & Letter of Confirmation**

**Ref: Regulation 39(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

We wish to inform that the Bank has issued 'Letter of Confirmation' in lieu of duplicate share certificate to the below shareholder as per procedure prescribed under applicable SEBI circulars:

Folio No	Name of Shareholder	New Certificate No.	Distinctive No.		No. of Shares
			From	To	
000284	Simran Mohnot	525	630184997	630185017	21

This is for your information and records.

Yours faithfully,

**For AU SMALL FINANCE BANK LIMITED**

**Manmohan Parnami**  
**Company Secretary and Compliance Officer**  
**Membership No.: F9999**  
[investorrelations@aubank.in](mailto:investorrelations@aubank.in)

**Registered Office**

**AU SMALL FINANCE BANK LIMITED**

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Jaipur - 302001, Rajasthan, India

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**CIN: L36911RJ1996PLC011381**