

GANGES SECURITIES LIMITED

CIN – L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN – 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail – gangessecurities@birlasugar.org; Website-www.birla-sugar.com

April 12, 2022

The Secretary
National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex,
Bandra (E)
Mumbai 400 051

The Dy. General Manager
Corporate Relationship Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street, Fort,
Mumbai-400 001

Symbol : GANGESSECU

Stock Code : 540647

Dear Sir,

Sub: Compliance Certificate for the quarter and half year ended March 31, 2022

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated April 12, 2022 received from M/s. S. M Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of the date of lodgment for transfer, sub-division, consolidation, renewal etc. for the half year ended 31st March, 2022.

Thanking you

Yours faithfully,
For **Ganges Securities Limited**

Vijaya Agarwala
Vijaya Agarwala

Company Secretary
ACS-38658



Encl.: as above

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S. M. GUPTA & CO.
COMPANY SECRETARIES
P-15 BENTINCK STREET,CALCUTTA-700 001

Ref. No.....

Dated.....

CERTIFICATE
FOR THE FINANCIAL YEAR ENDED 31.03.2022

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. GANGES SECURITIES LIMITED (CIN:L74120UP2015PLC069869)** maintained by them and their Share Transfer Agents (STA) M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the Financial year ended 31st March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations,2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above financial year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases) sub-division, consolidation and renewal received during the financial year ended 31st March, 2022 as entered in the said Registers within thirty days from respective dates of lodgement of such deeds excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for exchange or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgement.

Place : Kolkata
Date: 12.04.2022

For S. M. Gupta & Co.
Company Secretaries
Firm Registration No.: S1993WB816800



S. M. Gupta

S. M. Gupta
Proprietor

Membership Number: FCS-896

C. P. No: 2053

Peer Review: 718/2020

UDIN: F000896D000078523