

18th April, 2023

Ref. No.: WOCK/SEC/SE/2023-24/001

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol: WOCKPHARMA</u>
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Dear Sir/Madam,

Sub: Certificate pursuant to Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations')

In compliance with Regulation 40(10) of the Listing Regulations, please find enclosed herewith the certificate dated 13th April, 2023 issued by a Practising Company Secretary for the year ended 31st March, 2023, certifying that certificates have been issued within the Statutory timelines.

You are requested to take the above disclosure on record.

Thanking you,

For Wockhardt Limited

Debashis Dey
Company Secretary

Encl: a/a



Virendra Bhatt

Company Secretary

Office :

Office No.: 03, A Wing, 9th Floor,
Pinnacle Corporate Park,
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai - 400 051

Tel.: 022 - 2652 9367 / 68

Mobile No.: +91 98200 48670

Email : bhattvirendra1945@yahoo.co.in

Name of the Company : WOCKHARDT LIMITED

CIN : L24230MH1999PLC120720

Registered Office : Wockhardt Research Centre, D - 4, M.I.D.C. ,
Chikalthana, Aurangabad - 431006

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR
ENDED 31ST MARCH, 2023**

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 08th June, 2018 and vide its press release dated 3rd December, 2018 ("SEBI's operational guidelines"), amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 ("SEBI's operational guidelines") had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, I have examined all the relevant documents relating to **WOCKHARDT LIMITED** maintained by **Link Intime India Private Limited ("RTA")** for the purpose of issuing the Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from **01st April, 2022 to 31st March, 2023** and based on the information provided, I hereby certify that:

- A. The Company has delivered/sent during year ended on 31st March, 2023, letters of confirmation as per SEBI's operational guidelines, for execution of transmission, change of name, deletion of name and issue of duplicate securities certificate,



received during the financial year ended 31st March, 2023, within the prescribed time from the respective date of lodgement.

- B. As informed to me, the RTA has not processed any physical transfer, transposition of securities, renewal, consolidation, exchange and sub-division (split) etc. as per SEBI's operational guidelines.

Date: 13th April, 2023

Place: Mumbai

UDIN: A001157E000083921



Virendra G. Bhatt

Practicing Company Secretary

ACS No.: 1157 / COP No.: 124

Peer Review Cert. No.: 1439/2021